#### Documentation for Vintage 2007 Bridged-Race Postcensal Population Estimates for Calculating Vital Rates

The Vintage 2007 bridged-race postcensal population estimates files contain estimates of the resident population of the United States as of July 1, 2000 to July 1, 2007. Most of the files have estimates by county, single-year of age (0, 1, 2,..., 85 years and over), bridged-race category (White, Black or African American, American Indian or Alaska Native, Asian or Pacific Islander), Hispanic origin (not Hispanic or Latino, Hispanic or Latino), and sex (1). One set of files has national estimates for persons 85 years and over by single-year of age. The estimates on these files are based on Census 2000 and result from bridging the Vintage 2007 postcensal estimates with 31 race groups (the 31 race groups used in Census 2000 in accordance with the 1997 Office of Management and Budget (OMB) standards for the collection of data on race and ethnicity) to the four race categories specified under the 1977 OMB standards (2,3).

The Vintage 2007 postcensal population estimates were produced after implementation of several methodologic changes by the Census Bureau (4). The net impact of the various methodologic changes is a downward shift of the Vintage 2007 postcensal population estimates when compared to those from the Vintage 2006 series. A downward shift in the net international migration estimates accounts for most of the change in the Vintage 2007 estimates.

The Vintage 2007 bridged-race postcensal estimates were produced by the Population Estimates Program of the U.S. Census Bureau in collaboration with the National Center for Health Statistics (NCHS). This file was released by the Census Bureau on August 7, 2008 and by NCHS on September 5, 2008.

### Background

Race bridging refers to making data collected using one set of race categories consistent with data collected using a different set of race categories, to permit estimation and comparison of race-specific statistics at a point in time or over time. More specifically, race bridging is a method used to make multiple-race and single-race data collection systems sufficiently comparable to permit estimation and analysis of race-specific statistics.

In 1997, OMB issued "Revisions to the Standards for the Classification of Federal Data on Race and Ethnicity," which supersedes the 1977 Statistical Policy Directive 15, "Race and Ethnic Standards for Federal Statistics and Administrative Reporting" (2,3). Both documents specify rules for the collection, tabulation, and presentation of race and ethnicity data within the Federal statistical system. The race categories specified in both standards represent a social-political construct and are not anthropologically or biologically based. The revised standards increased the minimum number of race categories to be used by Federal agencies from four (White, Black, American Indian or Alaska Native, and Asian or Pacific Islander) to five (White, Black or African American,

American Indian or Alaska Native, Asian, and Native Hawaiian or Other Pacific Islander). In addition, the revised standards require Federal data collection programs to allow respondents to select more than one race category when responding to a query on their racial identity. This provision means that under the revised standards there are potentially 31 race groups (5 single-race and 26 multiple-race), depending on whether an individual selects one, two, three, four, or all five of the race categories.

During the transition to full implementation of the 1997 standards (see paragraph below), these two different standards for the collection of race and ethnicity data are both being used, creating incomparability across data systems. Further, within a given data system, the change in the race standards results in incomparability across time, thus making it difficult to perform trend analyses. The OMB recognized that race-bridging approaches would be needed to make race data collected under the 1997 standards. Therefore, the OMB issued "Provisional Guidance on the Implementation of the 1997 Standards for Federal Data on Race and Ethnicity" (5). The guidance document contains a detailed discussion of various bridging methods.

Vital rates are based on information obtained from vital records collected through the state-based Vital Statistics Cooperative Program (numerators) and population estimates derived from the U.S. Census (denominators). Because of differences in the timing of implementation of the 1997 standards, beginning with the 2000 data year, the numerators and denominators of vital rates have incompatible race data. The question on race on the 2000 census was based on the revised OMB standards and so allowed respondents to select more than one race category. As a result, population estimates for 2000 and beyond have five single-race categories and up to 26 multiple-race categories. Implementation of the 1997 standards within the Vital Statistics Cooperative System started in 2003, on an individual state basis, and is proceeding slowly as states implement revised birth and death certificates which incorporate the revised OMB standards. As of 2007, most states had not revised the race question on their birth or death certificates and were still collecting race data using the 1977 race categories. Therefore, at this time, the calculation of post-2000 race-specific birth and death rates requires population estimates with the 1977 race categories. When a sufficient number of states have adopted the revised birth and death certificates, rates will be presented using population estimates that comply with the 1997 standards.

#### **NCHS Regression Bridging Method**

Bridging methodology developed by NCHS bridges the multiple-race group population counts to four single-race categories (6,7). Information from the pooled 1997-2000 National Health Interview Survey was used to develop the bridging methodology. Regression models with person-level and county-level covariates were used to generate the probability of selecting each single-race category possible for a multiple-race group. The probabilities generated from the fitted regression models are referred to as the NHIS bridging proportions. The Census Bureau applied the NHIS bridging proportions generated by NCHS to the Census 2000 Modified Race Data Summary file (8). This application resulted in a bridged population count for each of the four single-race categories (White, Black or African American, American Indian or Alaska Native, and Asian or Pacific Islander) by county, single-year of age, Hispanic origin group, and sex, for April 1, 2000. Annually, the bridging proportions are applied to the latest vintage of postcensal estimates with 31 race groups (the 31 race groups used in Census 2000 in accordance with the 1997 OMB standards) to obtain the bridged-race population estimates for the four single-race categories. The bridging methodology is described in detail in the report, "United States Census 2000 Population with Bridged Race Categories" (which is available for download from this site) (5).

#### Bridged-race postcensal population estimates

Postcensal population estimates are estimates made for the years following a census, before the next census has been taken. Postcensal estimates are derived by updating the resident population enumerated in the decennial census using various measures of population change. The components of population change used in the derivation of the postcensal estimates include: births to U.S. resident women, deaths to U.S. residents, net international immigration, net movement of U.S. Armed Forces and civilian citizens of the U.S, and migration within the U.S. The Census Bureau annually produces a series of postcensal estimates that includes estimates for the current data year and revised estimates for earlier years. Estimates for earlier years in a given series are revised to reflect changes in the components of population change data sets (for example, a preliminary natality file is replaced with a final natality file). The last year in a series is used to name the series. For example, the Vintage 2002 postcensal series has estimates for July 1, 2000, July 1, 2001, and July 1, 2002. The Vintage 2003 series has estimates for July 1, 2000, July 1, 2001, July 1, 2002, and July 1, 2003. The July 1, 2000, July 1, 2001, and July 1, 2002 estimates from the Vintage 2002 and Vintage 2003 series differ.

The Census bureau annually produces a postcensal series of estimates of the resident population of the United States with 31 race groups (in accordance with the 1997 OMB standards). The Census 2000 Modified Race Data Summary File serves as the base data for these post-2000 postcensal series (7). Under a collaborative arrangement with NCHS, the Population Estimates Program of the U.S. Census Bureau applies the NHIS bridging proportions to the 31-race postcensal population estimates to produce bridged-race postcensal estimates (estimates for the four single-race categories: White, Black or African American, American Indian or Alaska Native, and Asian or Pacific Islander).

### Variance of bridged-race population estimates

Population estimates generally are assumed to be fixed and do not contribute to the variance of rates. However, this is not true for bridged-race population estimates.

Methodology to compute variances for bridged-race population estimates has been developed (9).

### Geographic codes in the bridged-race population files

The Vintage 2007 files have population estimates for 3,141 counties and county equivalents. The State and county FIPS codes in the Vintage 2007 files are the same as those in the Vintage 2002, Vintage 2005, and Vintage 2006 files. The Vintage 2003 and Vintage 2004 files do not have population estimates for Broomfield County, Colorado; the Vintage 2002, Vintage 2005, Vintage 2006, and Vintage 2007 files do.

**Broomfield County, Colorado** (FIPS code=08014) was created effective November 15, 2001 from parts of four Colorado counties: Adams, Boulder, Jefferson, and Weld. Vintage 2003 and Vintage 2004 did not contain estimates for Broomfield. Note that data for Broomfield County does not appear on NCHS birth or mortality files until data year 2003.

There are no estimates for **Clifton Forge County, Virginia** (FIPS code=51560) on the bridged-race postcensal population files. On July 1, 2001, Clifton Forge city, Virginia, formerly an independent city, merged with Alleghany county (FIPS code=51005). Note that estimates for Clifton Forge city appear on NCHS birth and mortality files prior to data year 2003; beginning with the 2003 data year, no data for Clifton Forge city appear on the birth and death files.

### Release of bridged-race population estimates

In response to the need for bridged estimates by a wide range of users, NCHS is making the bridged-race population estimates available for download from this web site (see **Data Files and Documentation**). The report detailing the bridging methodology is available for download from this site (see "United States Census 2000 Population with Bridged Race Categories" under **Methodology**).

NCHS is currently using the bridged-race postcensal population estimates to calculate birth and death rates.

Although efforts were made to use the best available data and methods to produce these estimates, the modeling process introduces error into the estimates. The potential for error will be greatest for the smallest population groups, particularly the smaller race groups and county level estimates.

NCHS would appreciate receiving feedback on the usefulness of the estimates as well as notification of any problems that have been identified. Please provide comments via e-mail to: PopEst@cdc.gov.

# **Suggested citation**

National Center for Health Statistics. Postcensal estimates of the resident population of the United States for July 1, 2000-July 1, 2007, by year, county, age, bridged race, Hispanic origin, and sex (Vintage 2007). Prepared under a collaborative arrangement with the U.S. Census Bureau; released August 7, 2008. Available from: <u>http://www.cdc.gov/nchs/nvss/bridged\_race.htm</u> as of September 5, 2008.

## References

1. National Center for Health Statistics. Postcensal estimates of the resident population of the United States for July 1, 2000-July 1, 2007, by year, county, age, bridged race, Hispanic origin, and sex (Vintage 2007). Prepared under a collaborative arrangement with the U.S. Census Bureau; released August 7, 2008. Available from: <u>http://www.cdc.gov/nchs/nvss/bridged\_race.htm</u> as of September 5, 2008.

2. Office of Management and Budget. Revisions to the standards for the classification of Federal data on race and ethnicity. Federal Register 62FR58781-58790, October 30, 1997. Available from: http://www.whitehouse.gov/omb/fedreg/1997standards.html.

3. Office of Management and Budget. Race and ethnic standards for Federal statistics and administrative reporting. Statistical Policy Directive 15. May 12,1977.

4. U.S. Census Bureau. Methodology for the United States resident population estimates by age, sex, race, and Hispanic origin (Vintage 2007): April 1, 2000 to July 1, 2007. Available from: http://www.census.gov/popest/topics/methodology/2007-nat-meth.pdf. 2008.

5. Office of Management and Budget. Provisional guidance on the implementation of the 1997 standards for the collection of Federal data on race and ethnicity. December 15, 2000. Available from:

http://www.Whitehouse.gov/omb/inforeg/r&e\_guidance2000update.pdf.

6. Ingram DD, Parker JD, Schenker N, Weed JA, Hamilton B, Arias E, Madans JH. United States Census 2000 population with bridged race categories. Vital Health Stat 2(135). Hyattsville, Maryland: National Center for Health Statistics. 2003. Available from: http://www.cdc.gov/nchs/data/series/sr\_02/sr02\_135.pdf

7. Parker JD, Schenker N, Ingram DD, Weed JA, Heck KE, Madans JH. Bridging between two standards for collecting information on race and ethnicity: an application to Census 2000 and vital rates. Public Health Reports 119(2):192-205. 2004

8. U.S. Census Bureau. Census 2000 modified race data summary file. Released September 2002. Available from: http://www.census.gov/popest/archives/files/MR-CO.txt.

9. Schenker, N. Assessing variability due to race bridging: application to Census counts and vital rates for the Year 2000. J American Statistical Association 98:818-28. 2003.

### File layout for the Vintage 2007 Bridged-Race Postcensal Files, 2000-2006

### Estimates for persons 0 to 85+ years of age (0,1, ..., 85 years and over)

There is a text (ASCII) file for the full Vintage 2007 postcensal series with data for all eight years in the series (July 1, 2000 to July 1, 2007), text files for each year in the series, and a SAS file with only the estimates for July 1, 2007. The files contain bridged-race postcensal estimates of the July 1 resident population of the United States by year, county, age (0, 1,..., 85 years and over), bridged-race category (White, Black or African American, American Indian or Alaska Native, Asian or Pacific Islander), Hispanic origin (not Hispanic or Latino, Hispanic or Latino), and sex. There is a record on the files for each combination of county, age, race, sex, and Hispanic origin. The population estimates on the files were derived by the U.S. Census Bureau using the Census 2000 Modified Race Data Summary File as the base file (9 ?). The files were released by NCHS on September 5, 2008.

### Estimates for persons 85 to 100+ years of age (85, 86, ... 100 years and over) - The

Vintage 2007 estimate series (April 1, 2000, July 1, 2000-July 1, 2007) is available as text and SAS files (all years in the series are contained on the same file). The files contain bridged-race postcensal estimates of the resident population of the United States by year, age (85, 86, ..., 100 years and over), bridged-race category (White, Black or African American, American Indian or Alaska Native, Asian or Pacific Islander), Hispanic origin (not Hispanic or Latino, Hispanic or Latino), and sex. There is a record on each file for each combination of month, year, age, race, Hispanic origin, and sex. The population estimates on the files were derived by the U.S. Census Bureau using the Census 2000 Modified Race Data Summary File as the base file (13). This file was released by NCHS on May 6, 2011.

File name	Number of records <sup>1</sup>	Month, Year	Population count
Pcen_v2007.txt	4,322,016		
		July 1, 2000	282,194,308
		July 1, 2001	285,112,030
		July 1, 2002	287,888,021
		July 1, 2003	290,447,644
		July 1, 2004	293,191,511
		July 1, 2005	295,895,897
		July 1, 2006	298,754,819
		July 1, 2007	301,621,157
pcen_v2007_y00.txt	4,322,016	July 1, 2000	282,194,308
pcen_v2007_y01.txt	4,322,016	July 1, 2001	285,112,030
pcen_v2007_y02.txt	4,322,016	July 1, 2002	287,888,021
pcen_v2007_y03.txt	4,322,016	July 1, 2003	290,447,644
pcen_v2007_y04.txt	4,322,016	July 1, 2004	293,191,511
pcen_v2007_y05.txt	4,322,016	July 1, 2005	295,895,897
pcen_v2007_y06.txt	4,322,016	July 1, 2006	298,754,819

Control totals for Vintage 2007 data files

pcen_v2007_y07.txt	4,322,016	July 1, 2007	301,621,157
pcen_v2007_y07.sas7bdat	4,322,016	July 1, 2007	301,621,157
pcen_v2007_85to100.txt		April 1, 2000	4,239,674
pcen_v2007_85to100.		July 1, 2000	4,286,059
sas7bdat		July 1, 2001	4,417,415
		July 1, 2002	4,546,457
		July 1, 2003	4,714,967
		July 1, 2004	4,847,434
		July 1, 2005	5,055,128
		July 1, 2006	5,285,976
	2,304	<u>July 1, 2007</u>	5,512,298

<sup>1</sup>For files with estimates for 0 to 85+ years, there is one record for each county, age, race, Hispanic origin, and sex combination. For files with estimates for persons 85 years and over, there is one record for each single-year of age, race, Hispanic origin, and sex combination.

1 2	Field		
Location 1-4	size 4	Item and code outline <u>Series vintage</u> (2007)	Format Numeric
5-6	2	FIPS State code	Numeric
7-9	3	FIPS county code	Numeric
10-11	2	<u>Age</u> (0, 1, 2,, 85 years and over)	Numeric
12	1	<u>Race-sex</u> 1=White male 2=White female 3=Black or African American male 4=Black or African American female 5=American Indian or Alaska Native male 6=American Indian or Alaska Native female 7=Asian or Pacific Islander male 8=Asian or Pacific Islander female	Numeric
13	1	<u>Hispanic origin</u> 1=not Hispanic or Latino 2=Hispanic or Latino	Numeric
14-21	8	Population count for July 1, 2000	Numeric
22-29	8	Population count for July 1, 2001	Numeric
30-37	8	Population count for July 1, 2002	Numeric
38-45	8	Population count for July 1, 2003	Numeric
46-53	8	Population count for July 1, 2004	Numeric
54-61	8	Population count for July 1, 2005	Numeric
62-69	8	Population count for July 1, 2006	Numeric
70-77	8	Population count for July 1, 2007	Numeric

File layout for text file with estimates for persons aged 0 to 85 years and over, estimate years 2000 to 2007: **pcen\_v2007.txt**:

File Layout for text files with estimates for persons aged 0 to 85 years and over, by individual estimate year:

	pcen pcen pcen pcen pcen pcen pcen	_v2007_y00.txt: _v2007_y01.txt _v2007_y02.txt _v2007_y03.txt _v2007_y04.txt _v2007_y05.txt _v2007_y06.txt _v2007_y07.txt	
Location	Field size	Item and code outline	Format
1-4	4	<u>Series vintage</u> (2007)	Numeric
5-6	2	FIPS State code	Numeric
7-9	3	FIPS county code	Numeric
10-11	2	<u>Age</u> (0, 1, 2,, 85 years and over)	Numeric
12	1	Race-sex 1=White male 2=White female 3=Black or African American male 4=Black or African American female 5=American Indian or Alaska Native male 6=American Indian or Alaska Native female 7=Asian or Pacific Islander male 8=Asian or Pacific Islander female	Numeric
13	1	<u>Hispanic origin</u> 1=not Hispanic or Latino 2=Hispanic or Latino	Numeric
14-21	8	Population count estimate file y00 has estimate for July 1, 2000 file y01 has estimate for July 1, 2001 file y02 has estimate for July 1, 2002 file y03 has estimate for July 1, 2003 file y04 has estimate for July 1, 2004 file y05 has estimate for July 1, 2005 file y06 has estimate for July 1, 2006 file y07 has estimate for July 1, 2007	Numeric

estimate year:	files with estimates for persons aged 0 to 85 ye pcen_v2007_y07.sas7bdat	
Variable	Item and code outline	Format
VINTAGE	Series Vintage (2007)	Numeric
ST_FIPS	State FIPS code	Numeric
CO_FIPS	County FIPS code	Numeric
AGE	<u>Age</u> (0, 1, 2 85 years and over)	Numeric
RACESEX	R <u>ace</u> 1=White male 2=White female 3=Black male 4=Black female 5=American Indian or Alaska Native male 6=American Indian or Alaska Native female 7=Asian or Pacific Islander male 8=Asian or Pacific Islander female	Numeric
HISP	<u>Hispanic origin</u> 1=not Hispanic or Latino 2=Hispanic or Latino	Numeric
Рор	July 1, 2007 resident population	Numeric

File layout for SAS files with estimates for persons aged 0 to 85 years and over, 2007

	Field		
Location	size	Item and code outline	Format
1-4	4	<u>Series vintage</u> (2007)	Numeric
5-8	4	Estimate Year 2000 or 2007	Numeric
9	1.	<u>Estimate month</u> 4=April 7=July	Numeric
10-12	3	<u>Age</u> (85, 86… 100 years and over)	Numeric
13	1	Race-sex 1=White male 2=White female 3=Black or African American male 4=Black or African American female 5=American Indian or Alaska Native male 6=American Indian or Alaska Native female 7=Asian or Pacific Islander male 8=Asian or Pacific Islander female	Numeric
14	1	<u>Hispanic origin</u> 1=not Hispanic or Latino 2=Hispanic or Latino	Numeric
15-22	8	Population estimate	Numeric

pcen_v2007_85to100.sas7bdat			
Variable name	Item and code outline	Format	
VINTAGE	Series Vintage (2007)	Numeric	
YEAR	Estimate year (2000 or 2007)	Numeric	
MONTH	<u>Estimate month</u> 4=April 7=July	Numeric	
AGE	<u>Age</u> (85, 86… 100 years and over)	Numeric	
RACESEX	R <u>ace-sex</u> 1=White male 2=White female 3=Black male 4=Black female 5=American Indian or Alaska Native male 6=American Indian or Alaska Native female 7=Asian or Pacific Islander male 8=Asian or Pacific Islander female	Numeric	
HISP	<u>Hispanic origin</u> 1=not Hispanic or Latino 2=Hispanic or Latino	Numeric	
Рор	Population estimate	Numeric	

File layout for SAS file with estimates for persons aged 85 to 100 years and over: pcen\_v2007\_85to100.sas7bdat