Government Performance and Results Act (GPRA)

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Division of Laboratory Services
Clinical Laboratory Improvement Advisory Committee
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Disclosures

• I have no conflicts of interest
Topics For Discussion

• Describe the Government Performance and Results Act (GPRA) & GPRMA
• Introduce GPRA Goal—Waived Labs
• Report on 2012 Pilot Study
• Additional info – results of questions
• CMS-668B comments
• Ongoing initiatives
Government Performance and Results Modernization Act of 2010

- Government Performance and Results Act (GPRA)
  Initial law: 1993
  - Improve program effectiveness and accountability
  - Improve Congressional decision-making
  - Improve internal management
  - Align with HHS Strategic Plan

- GPRMA - Effective January 2011

New Framework aimed at:
- Taking a more crosscutting and integrated approach
  - Improve the effectiveness of government programs and operations
  - Reduce unnecessary duplication, overlap, and fragmentation
  - Improve collaboration across the federal government
  - Integrated approach to achieving results
HHS Strategic Plan for 2010-2015

- Transform Health Care
- Advance Scientific Knowledge and Innovation
- Advance the Health, Safety, and Well-Being of the American People
- Increase Efficiency, Transparency, and Accountability of HHS Programs
- Strengthen the Nation’s Health and Human Services Infrastructure and Workforce
CMS Strategic Goals

The CMS Strategy is Built on Four Main Goals:

GOAL 1
Better Care and Lower Costs
Beneficiaries receive high quality, coordinated, effective, efficient care. As a result, health care costs are reduced.

GOAL 2
Prevention and Population Health
All Americans are healthier and their care is less costly because of improved health status resulting from use of preventive benefits and necessary health services.

GOAL 3
Expanded Health Care Coverage
All Americans have access to affordable health insurance options that protect them from financial hardship and ensure quality health care coverage.

GOAL 4
Enterprise Excellence
We will have achieved “Enterprise Excellence” when CMS’ high quality, diverse workforce develops, supports and utilizes innovative strategies, tools and processes, and collaborates effectively with its partners and agents to reach its goals.
Certificate of Waiver Project

- Collaborative effort between CMS & CDC
- 2% of the nations’ waived laboratories
- Educational surveys
- Current surveyor process
- State Surveyor Information System (SSIS)
  - laboratory regulations and,
  - good laboratory practice
Good Laboratory Practices for Waived Testing Sites

- Educational booklet with job aids

**READY? SET? TEST!**

**PATIENT TESTING IS IMPORTANT.**
Get the right results.

Get the right results.

http://www.cdc.gov/dls/waivedtests

- Have the latest instructions for ALL of your tests.
- Know how to do tests the right way.
- Know how and when to do quality control.
- Make sure you do the right test on the right patient.
- Make sure the patient has prepared for the test.
- Collect and label the sample the right way.
- Follow instructions for quality control and patient tests.
- Keep records for all patient and quality control tests.
- Follow rules for discarding test materials.
- Report all test results to the doctor.

http://www.cdc.gov/dls/waivedtests
GPRA “READY, SET, TEST” Goal

Increase the percentage of certificate of waiver labs that are in full compliance with CLIA regulations.
GPRA Waived Project

• Pilot Study – 2 states in each Region
  – Selected Waived labs received copy of ‘Ready, Set, Test’ prior to their CoW survey
  – Post survey information collected (State Surveyor Information System) regarding lab use of booklet to improve lab practices
Survey Action Letters

- CMS-2567
- Letter of Required Corrections
- Letter of Required Corrections and Suggestions
- Letter of Suggestion

- Letter of Congratulations
# Show me the Numbers!

<table>
<thead>
<tr>
<th>Measure</th>
<th>FY</th>
<th>Target</th>
<th>Result</th>
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</thead>
<tbody>
<tr>
<td>CLIA2: Increase the percentage of certificate of waiver labs that are in full compliance with CLIA regulations.</td>
<td>2013</td>
<td>+2% over 2012 % of certificate of waiver laboratories qualifying for a Letter of Congratulations</td>
<td>January 2014</td>
</tr>
<tr>
<td></td>
<td>2012</td>
<td>+2 % over 2011 % of certificate of waiver laboratories qualifying for a Letter of Congratulations</td>
<td>41%*</td>
</tr>
<tr>
<td></td>
<td>2010 &amp; 2011</td>
<td>Actual(Historical data) % of certificate of waiver laboratories qualifying for a Letter of Congratulations</td>
<td>32%*</td>
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</table>

* Based on SSIS data as of 5/02/2013
1,758 CW laboratories were surveyed nationwide

GPRA states

• 735 (42%) of CW laboratories surveyed were sent the “READY, SET, TEST” booklet.

• 298 (of 735) received a Letter of Congratulations
We are pleased to report……..

• Met and exceeded our expectations for success
• a 29% increase from 2011 to 2012
• Educational materials, like the “READY, SET, TEST” booklet, are well received by laboratories and serve as an excellent means of improving the quality of laboratory testing.
State Surveyor Entry Form

- Did the lab receive the “READY, SET, TEST” booklet? Y/N
- Did the lab review the “READY, SET, TEST” booklet? Y/N (Answer N/A if the lab did not receive the booklet).
- Did the lab find the “READY, SET, TEST” booklet helpful? Y/N
- What section(s) of the booklet did they find helpful? Select all that apply? (A drop-down listing the key sections of the “READY, SET, TEST” booklet and an option for free text comments).
- Did the lab change any of their current practices based on their receipt of the “READY, SET, TEST” booklet? Y/N
**The results are in!**

<table>
<thead>
<tr>
<th>Question</th>
<th>Yes</th>
<th>No</th>
</tr>
</thead>
<tbody>
<tr>
<td>Did the lab receive the “READY, SET, TEST” booklet?</td>
<td>713 (98%)</td>
<td>13</td>
</tr>
<tr>
<td>Did the lab review the “READY, SET, TEST” booklet?</td>
<td>629 (87.5%)</td>
<td>79</td>
</tr>
<tr>
<td>Did the lab find the “READY, SET, TEST” booklet helpful?</td>
<td>607 (96%)</td>
<td>22</td>
</tr>
<tr>
<td>Did the lab change any of their current practices based on their receipt of the “READY, SET, TEST” booklet?</td>
<td>354 (78%)</td>
<td>275</td>
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</table>

What section(s) of the booklet did they find helpful? – the top three responses are listed below

<table>
<thead>
<tr>
<th>Section Name</th>
<th>Content Name</th>
<th>Number of Responses</th>
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<tbody>
<tr>
<td>READY?</td>
<td>Quality Control Testing</td>
<td>307</td>
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<tr>
<td>Resources</td>
<td>Quality Control Log</td>
<td>284</td>
</tr>
<tr>
<td></td>
<td>Instructions</td>
<td></td>
</tr>
<tr>
<td>TEST!</td>
<td>Record Keeping</td>
<td>280</td>
</tr>
</tbody>
</table>
“Will use brochure as part of training process”
“The provision of “READY, SET, TEST” was well done. Should be provided to all CoW centers.”
“The booklet sent prior to the survey was very helpful!”
“READY, SET, TEST excellent educational material”
“The booklet, READY, SET, TEST was helpful and streamlined our evaluation of our process and procedures”
“More booklets available”
“Book extremely helpful prior to inspection”
“If forms in the READY, SET, TEST booklet were online, that would be great.”
Ongoing Initiatives

• Continue with GPRA goal using the same 20 states
• Collaboration with CDC
  – Statistical reports from SSIS
  – What else can we learn from the data?
• Considering expanding project to all CoW labs
• Another GPRA goal?
In Summary……

• 298 (of 735) received a Letter of Congratulations

• We far exceeded our expectations of a 2% increase

• “READY, SET, TEST” booklet, are well received by laboratories and serves as an excellent means of improving the quality of laboratory testing.
Resources