

SECTION H

ENROLLEES

07/28/95

Section H (MEF) is administered for each (sampled) plan. If offered at more than one location (sampled or not), section H is asked both about the company (000) and for each sampled establishment (001, 002...). If the plan is offered at only 1 location, Section H is administered at the estab (001) level.

SEGMENT = SPEN

DISPLAY BOX ON SCREENS H1 TO H11. FOOTER (ESTAB ADDRES) ONLY SHOWS FOR ESTAB LEVEL (001, 002...).

IF MEF, THE FOLLOWING PLAN LEVEL VALUES ARE COPIED TO THE CURRENT SPEN SEGMENT. THIS IS TO RECORD THE VALUES OF PLAN LEVEL VARIABLES AT THE TIME THEY ARE USED TO DETERMINE H SKIPS.

IF PLAN.COFAMILY0 = 1, SET SPEN.FAMILY<0 = 1 (COMPANY LEVEL FAMILY COVERAGE ENROLLMENT = 0)

IF PLAN.COCOBRA0 = 1, SET SPEN.COBRA0CO = 1 (COMPANY LEVEL COBRA ENROLLMENT = 0)

PYEND: GLOBAL DISPLAY - DEFINED IN SECTION D TO INDICATE HEALTH INSURANCE PLAN YEAR. IF D9=2, "the year that ended D10MONB, D10YEARB", else "1993".

TADDR: GLOBAL DISPLAY - INDICATES ESTABLISHMENT'S ADDRESS, "CURRADDR, CURRCITY, CURRST"

COMP_ORG: GLOBAL DISPLAY -- "company" if B8 = 1, else "organization"

AT_LOCAT: GLOBAL DISPLAY - "at this location" IF SOURCE ^= 2 (COG) & B1 ^=2 (NO OTHER BRANCHES)

DISPLAY1: " " FOR SEF, ELSE FOR MEF, "The information I need is for your {COMP_ORG} altogether" IF SECTION IS COMPANY LEVEL (000). IF ESTAB LEVEL (001, 002...) "The information I need is for the {CURRNAME} {TADDR} location only".

DISPLAY2: " " FOR SEF, ELSE FOR MEF COMPANY LEVEL (000), "[PROBE: This would include all locations considered part of your {COMP_ORG}." NOT SHOWN FOR ESTAB LEVEL (001, 002...)

NOTE: Introduction is only displayed for SEF/GOV cases. Lack of room on MEF screen.

[ASK TO SPEAK WITH R. WHEN R ON PHONE, READ INTRODUCTION.]

{My name is {INTERVIEWER NAME} and I am calling for the United States Department of Health and Human Services regarding a study about health benefits. This study collects information on the cost of providing health benefits to employees. Results will be used to develop estimates of health care spending and to evaluate health care reform.}

HGATE1. (HGATE1F for MEF company level. HGATE1E for MEF establishment level)

The (next/first) questions are about the number of employees enrolled in {PLAN NAME} at the end of {PYEND}. {DISPLAY1} ?

Would you be able to answer these questions?

{DISPLAY2}

(1)

	1.	CONTINUE SECTION H WITH {RESPNAME} (SKIP)
SECTION	2.	SKIP SECTION H, GO TO {RESPNAME'S} NEXT APPROPRIATE (HGATE2)
	3.	GO TO QUESTIONNAIRE MANAGEMENT SCREEN (QMS)
LEVEL	{9.	CONTINUE WITH H2 ONLY} (H2) (SHOWN FOR MEF ESTB ONLY.)
	GT.	GO TO RESULT
INDICATES	8.	(NOT DISPLAYED - FOR DATAPREP PURPOSES ONLY. SECTION WAS NOT ASKED AND -9 WAS ASSIGNED TO APPROPRIATE QUESTIONS IN THIS QUESTIONNAIRE SECTION OF PARTIALLY COMPLETE PLAN.)
INDICATES	88.	(NOT DISPLAYED - FOR DATAPREP PURPOSES ONLY. SECTION WAS NOT ASKED FOR THIS QUESTIONNAIRE SECTION OF UNSTARTED PLAN - LEFT EMPTY (ALL -1*S).)

SKIP: IF B1 = 1, DK/REF (SEF MAY HAVE MORE THAN ONE BRANCH IN THE U.S.) OR PLAN.LOCLNATL = L (MEF LOCAL SUBSAMPLED PLAN), GO TO HGATE1OV ELSE GO TO BOX H1

1 = HGATE1, SIZE = N2

ASKED IF HGATE1=2 (SKIP SECTION H FOR NOW)

HGATE2:

[ARE YOU SKIPPING SECTION H BECAUSE {RESPNAME} IS...]

(1)

1. NOT APPROPRIATE FOR SECTION H, OR,
2. {RESPNAME} CAN ANSWER QUESTIONS LATER?

GO TO RESPONDENT*S NEXT SECTION

1 = HGATE2, SIZE = N2

ASK HGATE1OV IF B1 = 1, DK/REF (SEF MAY HAVE MORE THAN ONE BRANCH IN THE U.S.) OR PLAN.LOCLNATL = L (MEF LOCAL SUBSAMPLED PLAN)

**SET LDISPLAY = "at this location" IF HGATE1OV = 1, ELSE
SET LDISPLAY = "for your firm" IF HGATE1OV = 2, ELSE
SET LDISPLAY = "for {HGATEOTH}" IF HGATE1OV = 3, ELSE**

HGATE1OV:

The information I need is for the {TADDR} location. Can you tell me about the enrollees for this location only, for all of your locations, or for some other group of locations?

[IF R CAN ANSWER FOR BOTH THIS LOCATION AND THE FIRM AS A WHOLE, ENTER 1 FOR THIS LOCATION]

(1) (BOX H1)

1. R CAN ANSWER FOR THIS LOCATION ONLY
2. R CAN ANSWER FOR ALL LOCATIONS
3. R CAN ANSWER ONLY FOR SOME OTHER GROUP (SPECIFY) (2)

DK/REF DISALLOWED

**1 = HGATE1OV, SIZE=N2
2 = HGATEOTH, SIZE = C25**

BOX H1	IF (SEF & ENROLMNT ^= MISSING & ENROLMNT <= A1 (SEF ENROLLMENT <= TOTAL EMPLOYEES) OR (MEF COMPANY LEVEL (000) & ENROLMNT ^= MISSING), GO TO H1 ELSE IF (SEF & (MISSING ENROLMNT OR ENROLMNT >A1)) OR (MEF (ALL LEVELS) & MISSING ENROLMNT), GO TO H2 ELSE IF MEF ESTAB-LEVEL & ENROLMNT ^= MISSING & SPEN.COBRA0CO ^= 1 (MEF COMPANY LEVEL COBRA ENROLLMENT ^= 0), GO TO H3 ELSE GO TO BOX H2
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**ASK FOR SEF AND MEF (COMPANY LEVEL, 000).
ASK H1 IF MEF COMPANY LEVEL, & PLAN.ENROLMNT ^= MISSING. TO H2 OR SEF
PLAN.ENROLMNT <= 1 (ENROLLMENT <= TOTAL EMPLOYEES)**

DISPLAY1: PLAN.ENROLMNT FOR SEF AND MEF.

**DISPLAY2: " " FOR SEF, ELSE FOR MEF, "in your COMP_ORG altogether" IF
COMPANY LEVEL (000). IF ESTAB, "at the CURRNAME TADDR location only."**

H1. (H1F for MEF company level. H1E for MEF establishment level.)

Let me verify my records. I have recorded that at the end of {PYEND}, there
were {DISPLAY1} employees enrolled in {PLAN NAME} {DISPLAY2}

Is this correct?

(1)

1. YES (H3)
2. NO (H2)

DK/REF GO TO H2

1 = H1, SIZE=N2

IF H1 = 1 GO TO H3, SET H2NUM = PLAN.ENROLMNT

ASK FOR SEF AND MEF (COMPANY AND ESTAB LEVLE). ASK H2 IF H1 ^ = 1 (ENROLLMENT NOT VERIFIED) OR ENROLMNT=MISSING OR SEF ENROLMNT > A1 (ENROLLMENT > TOTAL EMPLOYEES)

DISPLAY1: " LDISPLAY" FOR SEF, ELSE FOR MEF, "in your COMP_ORG as a whole" IF COMPANY LEVEL (000), ELSE, IF ESTAB LEVEL (001, 002...) SHOW "at the CURRNAME TADDR location only"

H2 (H2F for MEF company level. H2E for MEF establishment level.)

At the end of {PYEND}, how many employees were enrolled in {PLAN NAME} {DISPLAY1}? Do not include retirees or former employees.

[IF NONE, ENTER 0 (ZERO)]

(1) EMPLOYEES

***ALL RESPONSES INCLUDING DK/REF: IF HGATE1 = 9 (ASK H2 ONLY), GO TO RESPONDENT'S NEXT SECTION AFTER SETTING THE FOLLOWING VARIABLES TO (-9) NOT ASCERTAINED: H3NUM WHEN SPEN.COBRA0CO ^= 1 (COMPANY LEVEL COBRA ENROLLMENT ^= 0);
H4NUM WHEN D6 = 1 (RETIRES OVER 65 ELIGIBLE);
H5NUM WHEN D7 = 1 (RETIRES UNDER 65 ELIGIBLE);
H7NUM WHEN PLAN.FAMILY = MISSING (-1, -9) (FAMILY COVERAGE OFFERED NOT KNOWN);
H8NUM WHEN SPEN.FAMLY0CO ^= 1 (COMPANY LEVEL FAMILY COVERAGE ENROLLMENT ^= 0)
ELSE IF SPEN.COBRA0CO ^= 1 (MEF COMPANY LEVEL COBRA ENROLLMENT ^= 0), GO TO H3, ELSE GO TO BOX H2***

1 = H2NUM, SIZE = N7, HR: 0 TO 9,999,999, SR: 0 TO 5,000

**SOFT EDIT: IF SEF & HGATE1OV ^= 2, 3 (CAN ANSWER FOR THIS LOCATION) & A1 ^= MISSING & H2UNT ^= 2 (NOT A PERCENTAGE) & H2NUM > A1 (ENROLLED > TOTAL EMPLOYEES), SHOW REVERSE VIDEO MESSAGE BELOW. REASK H2. IF DISCREPANCY RESOLVED, SET CCFLAGH1 = 1, ELSE SET CCFLAGH1 = 2
“THE NUMBER OF EMPLOYEES ENROLLED IN THIS PLAN MUST BE LESS THAN THE TOTAL NUMBER OF EMPLOYEES {AT_LOCAT}”**

NOTE: H2NUM IS SET = PLAN.ENROLMNT WHEN H1 = 1 (ENROLLMENT VERIFIED)

SHOW H2UNT AS AN OVERLAY TO H2NUM IF 1 <= H2NUM <= 100.

H2UNT. [IS {H2NUM} A NUMBER OR PERCENTAGE?]

(2)

- 1. NUMBER**
- 2. PERCENTAGE**

**2 = H2UNT, SIZE=N2
H2PCT, SIZE = N3, HR: 1 TO 100 NOT SET BY CATI INSTRUMENT, BUT DP
ERRONEOUSLY ASSIGNED A FEW**

ASK FOR SEF AND MEF (BOTH COMPANY AND ESTAB LEVEL). ASK H3 IF SPEN.COBRA0CO ^= 1 (MEF COMPANY LEVEL COBRA ENROLLMENT ^= 0)

DISPLAY1: FOR SEF, "LDISPLAY", ELSE FOR MEF, "in your COMP_ORG altogether" IF COMPANY LEVEL (000) IF ESTAB (001, 002...) SHOW " "

DISPLAY2: FOR SEF, "?", ELSE FOR MEF, IF ESTAB (001, 002...) "from the CURRNAME TADDR location only?", ELSE "?"

H3 (H3 F/E). At the end of {PYEND}, how many former employees were enrolled in {PLAN NAME} {DISPLAY1} through COBRA, or continuation of benefits laws {DISPLAY2}

[IF NONE, ENTER 0 (ZERO)]

(1) FORMER EMPLOYEES

ALL RESPONSES INCLUDING DK/REF GO TO BOX H2

1 = H3NUM, SIZE=N7, HR: 0 TO 9,999,999 SR: 0 TO 1,000

SET PLAN.COCOBRA0 = 1 IF H2NUM = 0 & MEF COMPANY LEVEL (000)

NOTE: H3NUM IS SET NOT ASCERTAINED (-9) WHEN HGATE1 = 9 (ASK H2 ONLY) & SPEN.COBRA0CO ^= 1 (COMPANY LEVEL COBRA ENROLLMENT ^= 0)

BOX H2	IF D6 = 1 (RETIRES OVER 65 ELIGIBLE), GO TO H4 ELSE IF D7 = 1 (RETIRES UNDER 65 ELIGIBLE), GO TO H5 ELSE GO TO BOX H3
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ASK FOR SEF AND MEF (BOTH COMPANY AND ESTAB LEVEL). ASK H4 IF D6 = 1 (RETIREEES OVER 65 ELIGIBLE)

DISPLAY1: FOR SEF, "LDISPLAY", ELSE, FOR MEF, "in your COMP_ORG altogether" IF COMPANY LEVEL (000). IF ESTAB (001, 002...), "from the CURRADDR TADDR location only"

H4 (H4 F/E). At the end of {PYEND}, how many retirees 65 or older were enrolled in {PLAN NAME} {DISPLAY1}?

[IF NONE, ENTER 0 (ZERO)]

(1) RETIREEES 65 OR OLDER

ALL RESPONSES INCLUDING DK/REF: IF D7 = 1 (RETIREEES UNDER 65 ELIGIBLE) GO TO H5, ELSE GO TO BOX H3

1 = H4NUM, SIZE=N7, HR: 0 TO 9,999,999, SR: 0 TO 5,000

NOTE: H4NUM IS SET NOT ASCERTAINED (-9) WHEN HGATE1 = 9 (ASK H2 ONLY) & D6 = 1 (RETIREEES OVER 65 ELIGIBLE)

ASK FOR SEF AND MEF (BOTH COMPANY AND ESTAB LEVEL). ASK H5 IF D7 = 1 (RETIREEES UNDER 65 ELIGIBLE)

DISPLAY1: FOR SEF, LDISPLAY, ELSE, FOR MEF, "in your COMP_ORG as a whole" IF COMPANY LEVEL (000). IF ESTAB (001, 002...), "from the CURRNAME TADDR location only"

H5 (H5F/E). At the end of {PYEND}, how many retirees under 65 were enrolled in {PLAN NAME} {DISPLAY1}?

[IF NONE, ENTER 0 (ZERO)]

(1) RETIREEES UNDER 65 (SKIP)

***SKIP: IF H4NUM > 0 & H5NUM > 0 (RETIREEES BOTH OVER AND UNDER 65 ENROLLED) GO TO H6 ELSE GO TO BOX H3
DK/REF, GO TO BOX H3***

1 = H5NUM, SIZE=N7, HR: 0 TO 9,999,999 SR: 0 TO 5,000

NOTE: H5NUM IS SET NOT ASCERTAINED (-9) WHEN HGATE1 = 9 (ASK ONLY H2) & D7 = 1 (RETIREEES UNDER 65 ELIGIBLE)

IF H4NUM >0 & H5NUM > 0, ASK H6 (RETIREEES BOTH OVER AND UNDER 65 ENROLLED)

DISPLAY1: SUM (H4NUM, H5NUM)

DISPLAY2: FOR SEF, "LDISPLAY altogether ", ELSE FOR MEF, "in your COMP_ORG as a whole" IF COMPANY LEVEL (000). IF ESTAB (001, 002...) "at the CURRNAME TADDR location only"

H6 (H6 F/E). Let me verify that a total of {DISPLAY1} retirees were covered under {PLAN NAME} {DISPLAY2}.

Is that correct?

(1)

1. YES (H7)
2. NO

***IF H6 = 2, CLEAR H4 TO H5 AND RE-ASK, ONE TIME ONLY, SET CCFLAGH2=1.
ALL RESPONSES INCLUDING DK/REF GO TO BOX H3***

1 = H6, SIZE=N2

BOX H3	IF H2NUM = 0 & H3NUM = 0 & (H4NUM = 0 OR D6 ^= 1) & (H5NUM = 0 OR D7 ^= 1) (NO ACTIVE, FORMER OR RETIRED EMPLOYEES ENROLLED IN PLAN) SET TEMPORARY FLAG TOTAL ENROLLEES = 0 AND GO TO RESPONDENT*S NEXT SECTION ELSE IF PLAN.FAMILY = MISSING (-1, -9) (FAMILY COVERAGE OFFERED NOT YET DETERMINED) GO TO H7 ELSE IF PLAN.FAMILY = 1 (FAMILY COVERAGE OFFERED) GO TO BOX H4 ELSE GO TO RESPONDENT*S NEXT SECTION
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FOR MEF, H7 IS ASKED ONLY ONCE AT WHICHEVER LEVEL GETS THE QUESTION FIRST. ASK H7 IF PLAN.FAMILY = MISSING (-1, -9) (FAMILY COVERAGE OFFERED NOT DETERMINED) & TOTAL_ENROLLEES ^= 0

H7. Did {PLAN NAME} offer family coverage?

(1)

1. YES (BOX H4)
2. NO (EXIT SECTION H)

DK AND REF GO TO RESPONDENT'S NEXT SECTION

1 = H7, SIZE=N2

SET PLAN.FAMILY = H7 IF H6 = 1, 2

**BOX
H4**

**IF ENROLMNT > 0 & SPEN.FAMILY0CO ^= 1 (MEF COMPANY
LEVEL FAMILY COVERAGE ENROLLMENT ^= 0) GO TO H8
ELSE IF H3NUM > 0 (FORMER EMPLOYEES ENROLLED) GO TO
H10
ELSE IF H4NUM > 0 OR H5NUM > 0 (RETIREEES ENROLLED) GO
TO H11
ELSE GO TO RESPONDENT*S NEXT SECTION**

**NOTE: H7NUM IS SET NOT ASCERTAINED (-9) WHEN HGATE1 = 9 (ASK H2 ONLY)
& PLAN.FAMILY = MISSING (-1, _9) (FAMILY COVERAGE OFFERED NOT KNOWN)**

ASK FOR SEF AND MEF (COMPANY AND ESTAB LEVEL). ASKED IF ENROLMNT > 0 & FAMILY = 1 & SPEN.FAMILY0CO ^= 1 (COMPANY LEVEL FAMILY COVERAGE ENROLLMENT ^= 0) & TOTAL_ENROLLEES ^= 0

**DISPLAY1:
H2NUM IF ^= MISSING, ELSE DISPLAY " "**

**DISPLAY2:
“for your COMP_ORG altogether” IF COMPANY LEVEL (000). IF ESTAB (001, 002...)
“from the CURRNAME TADDR location only”.**

H8 (H8 F/E). At the end of {PYEND}, how many of the {DISPLAY1} employees who were covered under this plan were covered by a family policy {DISPLAY2}?

[IF NONE, ENTER 0 (ZERO)]

(1) EMPLOYEES COVERED UNDER FAMILY POLICIES

DK/REF GO TO RESPONDENT'S NEXT SECTION, ELSE IF H8NUM > 0 GO TO H9, ELSE IF H3NUM > 0 (FORMER EMPLOYEES ENROLLED) GO TO H10, ELSE IF H4NUM > 0 OR H5NUM > 0 (RETIREEES ENROLLED) GO TO H11

1 = H8NUM, SIZE=N7, HR: 0 TO 9,999,999 SR: 0 TO 10,000

**SOFT EDIT: IF H8NUM > H2NUM, SHOW REVERSE VIDEO MESSAGE BELOW. REASK H8. IF DISCREPANCY RESOLVED SET CCFLAGH3 = 1, ELSE SET CCFLAGH3 = 2.
THE NUMBER OF EMPLOYEES WITH FAMILY POLICY MUST BE LESS THAN THE TOTAL NUMBER OF EMPLOYEES ENROLLED IN THIS PLAN {H2NUM}**

SET PLAN.COFAMILY0 = 1 IF H8NUM = 0 & MEF COMPANY LEVEL (000)

NOTE: H8NUM IS SET NOT ASCERTAINED (-9) WHEN HGATE1 = 9 (ASK H2 ONLY) & SPEN.FAMILY0CO ^= 1 (COMPANY LEVEL FAMILY COVERAGE ENROLLMENT ^= 0)

SHOW H8UNT AS AN OVERLAY TO H8NUM IF 1 <= H8NUM <= 100.

H8UNT. [IS {H8NUM} A NUMBER OR PERCENTAGE?]

(2)

1. NUMBER
2. PERCENTAGE

DK/REF GO TO RESPONDENT'S NEXT SECTION, ELSE IF H8NUM > 0 GO TO H9, ELSE IF H3NUM > 0 (FORMER EMPLOYEES ENROLLED) GO TO H10, ELSE IF H4NUM > 0 OR H5NUM > 0 (RETIREEES ENROLLED) GO TO H11

2 = H8UNT, SIZE=N2

H8PCT, SIZE=N3, HR: 1 TO 100 NOT ASSIGNED BY CATI INSTRUMENT, BUT DP ERRONEOUSLY ASSIGNED A FEW

ASK FOR SEF AND MEF (BOTH COMPANY AND ESTAB LEVELS). ASK H9 IF H8NUM > 0 (ACTIVE EMPLOYEES COVERED BY FAMILY POLICY > 0)

DISPLAY1: FOR SEF, LDISPLAY, ELSE FOR MEF, "in your COMP_ORG altogether" IF COMPANY LEVEL (000). IF ESTAB (001, 002...) "at the CURRNAME TADDR location"

H9 (H9 F/E). Altogether, how many dependents of active employees {DISPLAY1} were covered under this plan at the end of {PYEND}?

[IF NONE, ENTER 0 (ZERO)]

(1) DEPENDENTS OF ACTIVE EMPLOYEES

DK/REF GO TO RESPONDENT'S NEXT SECTION, ELSE IF H3NUM > 0 (FORMER EMPLOYEES ENROLLED) GO TO H10, ELSE IF H4NUM > 0 OR H5NUM > 0 (RETIREEES ENROLLED) GO TO H11

1 = H9NUM, SIZE=N7, HR: 0 TO 9,999,999 SR: 0 TO 1,000

ASK FOR SEF AND MEF (COMPANY AND ESTABLISHMENT LEVEL). ASK H10 IF H3NUM >0 (FORMER EMPLOYEES ENROLLED) & FAMILY = 1 (FAMILY COVERAGE OFFERED)

DISPLAY1: FOR SEF, LDISPLAY, ELSE, FOR MEF, "in the COMP_ORG altogether" IF COMPANY LEVEL (000). IF ESTAB (001, 002...) "from the CURRNAME TADDR location only"

H10. At the end of {PYEND}, how many dependents of former employees were covered under {PLAN NAME} through COBRA {DISPLAY1}?

[IF NONE, ENTER 0 (ZERO)]

(1) DEPENDENTS OF FORMER EMPLOYEES

ALL RESPONSES INCLUDING DK/REF GO TO H11 IF H4NUM >0 OR H5NUM > 0 (RETIREEES ENROLLED), ELSE GO TO RESPONDENT*S NEXT SECTION

1 = H10, SIZE=N7, HR: 0 TO 9,999,999 SR: 0 TO 1,000

ASK FOR SEF AND MEF (BOTH COMPANY AND ESTAB LEVEL). ASK H11 IF H4NUM OR H5NUM > 0 (RETIRES ENROLLED) & FAMILY = 1 (FAMILY COVERAGE OFFERED)

DISPLAY1: FOR SEF, LDISPLAY, ELSE FOR MEF, "in your COMP_ORG altogether" IF COMPANY LEVEL (000). IF ESTAB (001, 002...) "from the CURRNAME TADDR location only"

H11. At the end of {PYEND}, how many dependents of retirees were covered under {PLAN NAME} {DISPLAY1}?

[IF NONE, ENTER 0 (ZERO)]

(1) DEPENDENTS OF RETIREES

1 = H11, SIZE=N7, HR: 0 TO 9,999,999, SR: 0 TO 1,000

GO TO RESPONDENT'S NEXT SECTION

SECTION H APPENDIX

- SPEN.COBRA0CO** *No employees had COBRA coverage at the firm level (mef only)*
Variable added.
Values:
-1 Default, no firm level section H or H3NUM ^= 0 at firm level
1 H3NUM = 0 at the firm level
- SPEN.FAMILY0CO** *No employees had family coverage at the firm level (mef only)*
Variable added.
Values:
-1 Default, no firm level section H or H8NUM ^= 0 at firm level
1 H8NUM = 0 at the firm level
- SPEN.HAGG** *Enrollment figures are aggregate (include other plans)*
Variable added.
Values:
-1 Default, these figures are not aggregate
1 The enrollment numbers for this plan (most specifically H2NUM) include
2 The enrollment numbers for this plan were included in another plan
3 The enrollment numbers for this plan include other plans that were not
4 The aggregate enrollment numbers for this plan have been reviewed and
5 The aggregate enrollment numbers for this plan have been reviewed, no
Note: The HAGG flag was used when other PLANS were included in the enrollment numbers provided, see HGATE1OV if other ESTABLISHMENTS were included.
Note: As of April 20, 1995, all HAGG flags should only have values of -1, 4, or 5.
- SPEN.HAGGLINK** *SPEN that holds the aggregate enrollment figures*
Variable added.
Values:
-1 Default, no enrollments were flagged as aggregate
000001 - _____ SPEN.ESTBNUM + SPEN.PLANNUM points to aggregate
Note: HAGGLINK should be filled on any SPEN when HAGG ^= -1.
Note: HAGGLINK was left unchanged for all SPENs with HAGG = 4 or 5.
- SPEN.HGATE1OV** *Are these enrollment numbers for this establishment or the firm as a whole?*
HGATE1OV was set to "firm as a whole" or "some other level" based on notes left by the interviewer. HGATE1OV was set regardless of previous responses in and the skip (HGATE1OV = -1 IF B1 = 2) was knowingly disregarded.
- SPEN.H2ALLEN** *H2NUM is all enrollees, not just active employees*
Variable added.
Values:
-1 Default, H2NUM is active employees enrolled in the plan
1 H2NUM represents all enrollees, not just active employees

- SPEN.H3NUM** *Number of former employees covered under COBRA*
When a respondent was unable to answer for the number of COBRA enrollees from the number of retirees enrolled, then the total of COBRA and retirees was H4NUM (retirees OVER 65 enrolled) and H3NUM was coded as zero.
- SPEN.H5NUM** *Number of retirees under 65 enrolled in the plan*
When a respondent was unable to answer for the number of retirees UNDER 65 separately from the number of retirees OVER 65 enrolled, then the total number retirees was coded in H4NUM (retirees OVER 65 enrolled) and H5NUM was coded as zero.
- SPEN.H8ALLEN** *H8NUM is all enrollees with family coverage, not just active employees*
Variable added.
Values:
 -1 Default, H8NUM is active employees with family coverage
 1 H8NUM represents all enrollees with family coverage, not just active employees
- SPEN.H9ALLEN** *H9NUM is dependents of all enrollees, not just active employees*
Variable added.
Values:
 -1 Default, H9NUM is only dependents of active employees
 1 H9NUM represents dependents of all enrollees, not just active employees