## SECTION E -- ENUMERATION OF PLANS

08/08/95

Section E is administered to all SEF, MEF and GOV cases.

**SEGMENT = PLAN & PENM - EACH PLAN HAS A SEPARATE PLAN SEGMENT.**

NO DISPLAY BOX ON SECTION E SCREENS.

COMP_ORG:  GLOBAL DISPLAY:
"company" **IF B8 = 1 & SOURCE=DMI(1), ELSE**
**"organization".**

PLANYEAR:  GLOBAL DISPLAY:  "plan year" IF D9=2, ELSE, " ".

AT_LOCAT: GLOBAL DISPLAY:
**"at this location" IF B1 ^ = 2 & SOURCE= DMI (1), ELSE, " "**

PYEND: GLOBAL DISPLAY DEFINED IN SECTION D TO INDICATE HEALTH INSURANCE PLAN YEAR
**. If D9 = 2, "the year that ended D10MONB, D10YEARB", ELSE, "1993".**

TADDR:  GLOBAL DISPLAY INDICATES ESTABLISHMENT ADDRESS "CURRADDR", "CURRCITY", "CURRST"

EGATE:  ASK IF SEF OR EF1 = -1 (SEF OR NOT YET KNOWN WHETHER ALL PLANS OFFERED AT ALL MEF LOCATIONS.)

FGATE:  ASK IF EF1 = [2,3] (ALL PLANS NOT OFFERED AT ALL MEF LOCATIONS)

DISPLAY1:  "the {TADDR} location" IF MEF ESTAB LEVEL (001,002...), ELSE, "all of the selected locations" MEGA MEF FIRM LEVEL ONLY, ELSE, " ".

DISPLAY2:  "at the selected location" IF MEF, ELSE, "at the {TADDR} location" IF SEF & AT_LOCAT ^= " " (SEF MAY HAVE MORE THAN ONE LOCATION), ELSE, " ".

```
[ASK TO SPEAK WITH R.  WHEN R ON PHONE, READ INTRODUCTION.]

[My name is {INTERVIEWER NAME} and I am calling for the United States
Department of Health and Human Services regarding a study about health
benefits.  This study collects information on the cost of providing health
benefits to employees.  Results will be used to develop estimates of health
care spending and to evaluate health care reform.]

EGATE:  (Next), I would like to list the names of the health insurance plans
your {COMP_ORG} offered {DISPLAY2} in {PYEND}.

Would you be able to give me this information?

                              ---OR---

FGATE/FGATEN:      Can you tell me the names of all the plans offered at
                   {DISPLAY1}.
                                    ( 1 )

1.    CONTINUE SECTION E/F WITH {RESPNAME} (SKIP)
{2.   SKIP THIS LOCATION*S SECTION F AND GO TO NEXT LOCATION*S SECTION F}
      (SHOW FOR FGATE ONLY) (SKIP)
3.    GO TO QUESTIONNAIRE MANAGEMENT SCREEN (QMS)
GT.   GO TO RESULT
```

**CONTINUED FROM FGATE/EGATE:**

*SKIP:*
*DISALLOW DK/REF*
*WILL ASK FGATE FOR EACH SAMPLED LOCATION*
*IF FGATE = 1, GO TO E1, PLAN MATRIX COLUMN 1, ELSE,*
*IF FGATE = 2, GO TO FGATE2*
*IF EGATE = 1 & MEF, GO TO EF1, ELSE,*
*IF EGATE = 1, GO TO E1, PLAN MATRIX COLUMN 1*

**1 = PENM.EGATE, SIZE = C2 (IF EGATE)**
**1 = ESTB.FGATE, SIZE = C2 (IF FGATE/N)**

**ASK FGATE2 IF FGATE = 2 (SKIP THIS LOCATION*S SECTION E)**

```
FGATE2. [ARE YOU SKIPPING SECTION F BECAUSE {RESPNAME}IS...]

                            ( 1 )

     1.    NOT APPROPRIATE FOR SECTION F, OR,
     2.    {RESPNAME} CAN ANSWER QUESTIONS LATER?
```

*DISALLOW DK/REF*
*ALL RESPONSES GO TO FGATE/N FOR NEXT LOCATION, ELSE R*S NEXT SECTION IF*
*ALL LOCATIONS DONE.*

**1 = FGATE2, SIZE = N2**

```
EF1.  Are all of the health plans your {COMP_ORG} offers available at all of
      the locations we*ve been talking about, or is one or more plans, such
as    a local HMO, available at only some locations?

                       [IF R DOESN*T KNOW, CODE 2.]

                              ( 1 )

      1.    YES [ALL PLANS OFFERED AT ALL SELECTED LOCATIONS]
      2.    NO [PLANS DIFFER AT {DISPLAY1} SELECTED LOCATIONS]
      {3.   NO [SOME PLANS OFFERED AT ALL SELECTED LOCATIONS AND SOME PLANS
                 OFFERED AT SOME OF THE SELECTED LOCATIONS]} (SHOWN FOR
MEGAMEFS             ONLY.)
```

*DISALLOW DK/REF*
*IF EF1 =1, GO TO E1 OF PLAN MATRIX, ELSE,*
*IF EF1 = [2,3], GO TO FGATE/N*

**1 = EF1, SIZE = N2**

**NOTE:  If EF1 = 2,3, then Section E is administered for each selected location.  For this reason the variables listed below are collected on the ESTB segment.  If EF1 = 1 or MEFPU = 1 (all plans offered at all estbs or SEF) these ESTB variables are copied to variables of the same name on the PENM segment since they apply to the case as a whole.  E5, E8, E9B, E10, E11B, E12, E13B, E14, E15.**

**E1 IS COLLECTED IN COLUMN 1 OF THE PLAN MATRIX (SCREEN EM) FOR THE FIRST PLAN.  (SUBSEQUENT PLANS WILL GET E2.)**

**DISPLAY2:**
**" " IF MEFPU = 2 & (EF1 = 1 OR (EF1 = 3 & AT FIRM LEVEL)) (MEF WITH ALL PLANS AT ALL ESTABS OR SOME PLANS AT ALL ESTAB AND AT FIRM LEVEL), ELSE, "{AT_LOCAT}".**

**DISPLAY4:**
**"a union" IF A15 = 1( FIRM CONTRIBUTED TO UNION PLAN), ELSE**
**" ".**

**DISPLAY5:**
**"a professional or trade association" IF A17 = 1 (FIRM CONTRIBUTED TO ASSOCIATION PLAN), ELSE**
 **" ".**

**DISPLAY6:**
**"a multi-employer group, MET or MEWA" IF D8 = 1 (FIRM IS IN A MET/MEWA), ELSE**
**" ".**

_____

```
E1.   What are the names of the health insurance plans your {COMP_ORG}
offered    {DISPLAY2} during {PYEND}?

      Please count each high and low option plan separately.

      {Please include any obtained through} {DISPLAY4}, {DISPLAY5}, {or}
      {DISPLAY6}.
```

```
E2.    Were there any other health insurance plans offered during {plan year}
       1993 {DISPLAY2}?  [IF YES:  What were the names of the other plans
       offered?]
```

*SKIP:*
*REF: SHOW MESSAGE* **"USE CTRL E TO CODE A REFUSAL"** *RETURN TO E1/E2.*
*DK:  SHOW MESSAGE* **"PROBE FOR PLAN NAME OR SOME OTHER WAY TO DISTINGUISH THE PLAN",** *RETURN TO E1/E2.*
*ELSE GO TO E3.*

**COLUMN 1 = PLAN.PLANNAME, SIZE = C30**

**E3 IS ASKED FOR BOTH SEF AND MEF.  E3 IS COLLECTED IN COLUMN 2 OF THE PLAN MATRIX.**

---

E3.    Is {PLANNAME} an HMO, a PPO, a conventional health insurance plan, a combination of these types, or some other type of health insurance plan such as a vision, dental or prescription drug plan?

                    1.    HMO/EPO/IPA
                    2.    PPO
                    3.    CONVENTIONAL/INDEMNITY
                    4.    COMBINATION

            SINGLE SERVICE

                    5.    DENTAL
                    6.    VISION
                    7.    PRES. DRUGS

            SPECIAL

                    8.    LONG-TERM CARE
                    9.    DREAD DISEASE
                    10.   EXTRA CASH

            OTHER

                    11.   ADMINISTRATIVE
                    12.   DISABILITY
                    13.   LIFE
                    91.   OTHER

                    *33.   MEDICATE SUPPLEMENT – NOT DISPLAYED – DATAPREP
                                PURPOSES ONLY.*
                    *56.   DENTAL/VISION – NOT DISPLAYED – DATAPREP PURPOSES
                                ONLY.*
                    *57.   DENTAL/PRESCRIPTION DRUG – NOT DISPLAYED – DATAPREP
                                PURPOSES ONLY.*
                    *67.   VISION/PRESCRIPTION DRUG – NOT DISPLAYED – DATAPREP
                                PURPOSES ONLY.*
                    *68.   DENTAL/VISION/PRESCRIPTION    –   NOT   DISPLAYED   –*
*DATAPREP*                *PURPOSES ONLY.*

*SKIP:*
*IF DK, SHOW MESSAGE "READ PLAN DEFINITIONS FROM REFERENCE CARD" AND RETURN TO E3 ONE TIME ONLY*
*GO TO E4 IF E3 = 3, ELSE,*
*GO TO EO IF E3 = 11,12,13 & MEFPU=2 & EF1 ^= 1 (MEF AND PLANS DIFFER BETWEEN ESTABS.), ELSE,*
*GO TO E2 FOR NEXT PLAN IF E3 = 11, 12,13, ELSE,*
*GO TO EN.*

*UPON COMPLETION OF E1-E4 & EO MATRIX FOR ALL PLANS, GO TO E4C FOR EACH PLAN WITH PLANTYPE = 91; THEN GO TO E4G FOR EACH PLAN WITH PLANTYPE = 4 TO RECODE PLANTYPE.*

---

**COLUMN 2 = PLAN.E3, SIZE = N2**

---

**IF DK/REF, SET PLANTYPE = 91**
**IF PLANTYPE = [8,9,10], SET PLAN.SELF = 2**
**SET PLAN.PLANTYPS = PLAN.PLANTYPE TO SAVE PLANTYPE FOR PLAN SAMPLING.**
**SET PLAN.PLANTYPE = PLAN.E3 (PLANTYPE MAY BE RESET IN QUESTIONS E4 - E4G).**

**E4 IS ASKED WHEN E3 = 3 (CONVENTIONAL)  E4 IS COLLECTED IN THE THIRD COLUMN OF THE PLAN MATRIX.**

```
E4.   Did employees pay less if they used particular doctors, or preferred
      providers, under this plan?

                              ( 1 )


                    1.    YES
                    2.    NO
```

*ALL RESPONSES, INCLUDING DK/REF GO TO EN*

**COLUMN 3 = PLAN.E4, SIZE = N2**

**WHEN E4 = 1, SET PLANTYPE = 2 (PPO)**

DISPLAY1: "in your {COMP_ORG} altogether" IF MEF, ELSE, {AT_LOCAT}, IF SEF

```
EN.   At the end of {PYEND}, approximately how many employees were enrolled
in    {PLANNAME} {DISPLAY1}?
```

*SKIP:*

*IF DK, SHOW MESSAGE* **"PROBE FOR AN ESTIMATE"** *AND RETURN TO EN ONE TIME ONLY.*

*GO TO EO IF EF1 = 2 OR (EF1=3 & MEGAMEF = Y & AT FIRM LEVEL) (PLAN DIFFERS BETWEEN MEF ESTABS OR SOME PLANS ARE THE SAME AT MEGAMEF ESTABS AND ASKING AT FIRM LEVEL), ELSE,*

*GO TO E2 FOR NEXT PLAN, ELSE,*

*GO TO BOX E1 (EXITED PLAN MATRIX)*

COLUMN 4 = PLAN.ENROLMNT, SIZE=N7, HR: 0 TO 9,999,999, SR: 0 TO 5,000

EO IS ASKED IF EF1 = 2 OR (EF1 = 3 & MEGAMEF = Y & AT FIRM LEVEL) (PLANS DIFFER BETWEEN MEF ESTABS OR SOME PLANS ARE THE SAME AT MEGAMEF ESTABS AND ASKING AT FIRM LEVEL).  EO IS COLLECTED IN THE FIFTH COLUMN OF THE PLAN MATRIX.

```
EO.  Is {PLANNAME} offered at this location?

                          ( 1 )

         1.    YES
         2.    NO
         3.    NATIONAL PLAN OFFERED AT ALL LOCATIONS - NOT DISPLAYED -
FOR            DATAPREP PURPOSES ONLY.
```

*SKIP:*
*GO TO E2 FOR NEXT PLAN, ELSE,*
*GO TO BOX E1 (EXITED PLAN MATRIX)*

COLUMN 5 = PLAN.PLANUSED, SIZE = N2

BOX . . . . . . . REPEAT E4C, E4D, E4D1 AND E4E FOR EACH PLAN WITH
E1 . . . . . . . . PLANTYPE = 91 (OTHER), ELSE,
. . . . . . . . . . REPEAT E4G FOR EACH PLAN WITH PLANTYPE = 4 (COMBINATION),
. . . . . . . . . . . ELSE, GO TO E5

**E4C.  Is {PLANNAME} a health insurance plan?**

```
                              ( 1 )
                       [1 = YES, 2 = NO]
```

*IF E4C = 1, GO TO E4D, ELSE,*
*IF E4C = 2, DK/REF, GO TO BOX E1*

1 = PLAN.E4C, SIZE = N2

IF E4C = 1, SET PLANTYPE = 3 (CONVENTIONAL)
IF E4C = 2, SET PLANTYPE = 14 (OTHER, NOT HLTH INS.)
IF E4C = DK/REF SET PLANTYPE = 15 (OTHER, NOT DETERMINED)

_____

*SHOW E4D AS AN OVERLAY BELOW E4C IF E4C = 1 (PLAN IS HEALTH INSURANCE PLAN)*

**E4D.  What type of plan is {PLANNAME}?**

```
                              ( 1 )
```

*ALL RESPONSES, INCLUDING DK/REF GO TO E4D1*

1 = PLAN.TYPEOS, SIZE = C30, SHOW LINE TO COLLECT CHARACTER FIELD

_____

_____

**E4D1. Under this plan, were employees covered <u>only</u> if they saw providers participating in the plan?**

```
                    ( 1 )
                [1 = YES, 2 = NO]
```

*IF E4D1 = 1 SET PLANTYPE = 1, AND GO TO BOX E1, ELSE,*
*IF E4D1 = 2, DK/REF, GO TO E4E*

1 = PLAN.E4D1, SIZE = N2

_____

**E4E.  Did employees pay less if they used particular doctors, or preferred providers, under this plan?**

                                ( 1 )

                1.    YES
                2.    NO

1 = E4E, SIZE=N2

IF E4E = 1 SET PLANTYPE = PPO(2), ELSE E4E = 2, DK/REF, PLANTYPE = CONVENTIONAL(3)

```
E4G    Does {PLANNAME} have an HMO component?

                                  ( 1 )

                 1.   YES
                 2.   NO
```

*ALL RESPONSES, INCLUDING DK/REF REPEAT E4G FOR NEXT PLAN WITH PLANTYPE = 4 (COMBINATION), ELSE,*
*GO TO E5*

1 = E4G, SIZE=N2

IF E4G = 1, SET PLANTYPE = HMO(1)
ELSE, IF E4G = 2, DK/REF, SET PLANTYPE = PPO(2)

E5 IS ALWAYS ASKED.

---

DISPLAY1:
**"other" IF ANY PLANTYPE = DENTAL(5),VISION(6),PRES. DRUG(7), ELSE,
" ".**

---

DISPLAY2: "that were offered at this location" IF MEF, ESTB LEVEL, ELSE, " ".

---

E5.  Did you have any {DISPLAY1} special health plans such as dental,
vision,    or prescription drug plans {DISPLAY2}?

     [THESE ARE DIFFERENT PLANS THAT ENROLLES MUST SIGN UP FOR
     SEPARATELY.  DON'T CODE "YES" FOR COVERAGE PROVIDED AS PART
OF    PLANS ALREADY LISTED.]

                              ( 1 )

              1.    YES
              2.    NO  (BOX E2)

---

*DK/REF GO TO BOX E2*
*IF E5 = 1, GO TO PLAN MATRIX AND SHOW E6*

---

1 = ESTB.E5, SIZE=N2

---

E6.    What are the names of these special health plans?  (Collected on plan
       martix.)

COLLECTED IN COLUMN 1 OF PLAN MATRIX = PENM.E6, SIZE = N2

BOX . IF A15 = 1 (FIRM CONTRIBUTED TO UNION COVERAGE), GO TO
E2 . . . E8, ELSE,
. . . . . . GO TO BOX E3

E8 IS ASKED IF A15 = 1 (FIRM CONTRIBUTED TO UNION COVERAGE)

DISPLAY1:
**"Did you include this coverage in the plans you just told me about?" IF MORE THAN ONE PLAN, ELSE, "i**
**s {PLAN NAME} the union plan?"**

```
E8.   I  recorded  earlier  that  some  of  your  employees  obtained  health
insurance    coverage  through  a  union  as  of  December  31,  1993.  {DISPLAY1}

                          ( 1 )

             1.     YES
             2.     NO
             3.     DENIES UNION COVERAGE
```

*DK/REF GO TO BOX E3*
*IF E8 = 1, AND ONE PLAN, SET PLAN.UNION=1, GO TO E9C, ELSE*
*IF E8 = 1 GO TO E9A*
*IF E8 = 2, GO TO PLAN MATRIX AND SHOW EU*
*IF E8 = 3, GO TO BOX E3*

**1 = ESTB.E8, SIZE = N2**

```
EU:   Could you tell me the name of the union plan(s)?   (Collected on plan
      martix.)
```

*GO TO E9C UPON EXITING PLAN MATRIX*

**SET UNION=1 FOR EACH PLAN ADDED**

**E9A IS ASKED IF E8=1 (ENUMERATED PLANS INCLUDE UNION PLANS) AND THERE IS MORE THAN ONE ENUMERATED PLAN.**

```
E9A.  Which of the plans you just told me about is a union plan?

      SHOW UNION MATRIX(SCREEN E9) AND DISPLAY
```
**"YES"** FOR 1'S ENTERED BY         INTERVIEWER.

      **show instruction:**
**[USE ARROW KEY TO HIGHLIGHT UNION PLAN AND CODE 1             (YES)]**

*GO TO E9B UPON EXITING FROM UNION MATRIX.*

**SET PLAN.UNION=1 FOR EACH PLAN MARKED**

**E9B IS ASKED IF E9A WAS ASKED (WHICH ENUMERATED PLANS WERE UNION PLANS)**

---

E9B.  Were there any
**other union plans in addition to the one(s) you just told     me about?**

( 1 )

1.  YES
2.  NO

---

*DK/REF GO TO E9C*
*IF E9B = 1, GO TO PLAN MATRIX AND SHOW EU*
*IF E9B = 2, GO TO E9C*

---

**1 = ESTB.E9B, SIZE = N2**

---

**EU:  Could you tell me the name of the other union plan(s)?  (Collected on the plan matrix.)**

---

*GO TO E9C UPON EXITING THE PLAN MATRIX.*

---

**SET UNION=1 FOR EACH PLAN ADDED**

**E9C IS ASKED IF E8 = 12 (RESPONDENT CONFIRMS UNION COVERAGE)**

PLAN.PAY4UNON IS COLLECTED IN THE UNION CONTRIBUTION MATRIX (E9C)

```
E9C.  To which of the union plans did your {COMP_ORG} contribute?

      Show union matrix and display "YES" for 1*s and "NO" for 2*s
      entered by interviewer.  Only plans that show here are those that were
           answered yes on the union matrix.

      show instruction [USE ARROW KEY TO HIGHLIGHT UNION PLAN AND CODE  1
      (YES).]
```

*GO TO BOX E3 UPON EXIT FROM UNION CONTRIBUTION MATRIX.*

IF CONTRIBUTE ^= YES(1), EXCLUDE THOSE PLANS FROM SUBSAMPLING.

BOX . . . . . . . IF A17 = 1(FIRM CONTRIBUTED TO ASSOCIATION PLAN), GO TO
E3 . . . . . . . . E10;
     . . . . . . . . ELSE GO TO BOX E4.

E10 IS ASKED IF A17 = 1 (FIRM CONTRIBUTED TO ASSOCIATION PLAN.)

DISPLAY1:

**"Did you include this coverage in the plans you just told me about?" IF MORE THAN ONE PLAN, ELSE,**

**"Is {PLAN NAME} the association plan?".**

```
E10.  I  recorded  earlier  that  some  of  your  employees  obtained  health
insurance   coverage  through  a  professional  or  trade  association  as  of
December 31,     1993. {DISPLAY1}

                              ( 1 )

                1.     YES
                2.     NO
                3.     DENIES ASSOCIATION COVERAGE
```

1 = ESTB.E10, SIZE = N2

*DK/REF GO TO E4*
*IF E10 = 1, AND ONE PLAN, SET PLAN.ASSN = 1, GO TO E11C, ELSE*
*IF E10 = 1, GO TO E11A*
*IF E10 = 2, GO TO PLAN MATRIX AND SHOW EA (ASKED IN THE FIRST COLUMN), ELSE,*
*IF E10 = 3, GO TO BOX E4*

**EA:     Can you tell me the names of the professional or trade association plan(s)?  (Collected in first         column of plan matrix)**

*UPON EXITING FROM PLAN MATRIX, GO TO E11C*

SET PLAN.ASSN = 1, FOR EACH PLAN ADDED

E11A IS ASKED IF E10 = 1 (ENUMERATED PLANS INCLUDE ASSOCIATION PLANS) AND THERE IS MORE THAN ONE ENUMERATED PLAN.

PLAN.ASSN IS COLLECTED IN THE ASSOCIATION MATRIX. (E11)

**E11A. Which of the plans you just told me about is an association plan?**

SHOW ASSN MATRIX AND DISPLAY
**"YES" FOR 1'S ENTERED BY INTERVIEWERS.**

**show instruction:**
**[USE ARROW KEYS TO HIGHLIGHT ASSOCIATION PLAN AND CODE      1 (YES).]**

*GO TO E11B UPON EXITING FROM ASSOCIATION MATRIX.*

SET PLAN.ASSN=1 FOR EACH PLAN MARKED

E11B IS ASKED IF E11A WAS ASKED (WHICH ENUMERATED PLANS WERE ASSOCIATION PLANS)

**E11B. Were there any**
**other association plans in addition to the one(s) you**      **just told me about?**

( 1 )

1. YES
2. NO

*DK/REF GO TO E11C*
*IF E11B = 1, GO TO PLAN MATRIX AND SHOW EA (ASKED IN THE FIRST COLUMN), ELSE,*
*IF E11B = 2, GO TO E11C*

1 = ESTB.E11B, SIZE = N2

**EA:**
**Can you tell me the names of the other professional or trade association plan(s)?**

*GO TO E11C UPON EXITING THE PLAN MATRIX.*

SET PLAN.ASSN = 1, FOR EACH PLAN ADDED

E11C IS ASKED IF E10 = 1,2 (RESPONDENT CONFIRMS ASSOCIATION COVERAGE)

**PLAN.PAY4ASSN IS COLLECTED IN ASSOCIATION CONTRIBUTION MATRIX**

```
E11C. To which of the association plans did your {COMP_ORG} contribute?

      SHOW ASSOCIATION CONTRIBUTION MATRIX (E11C) AND DISPLAY "YES" FOR 1*S
      AND "NO"  FOR 2*S ENTERED BY INTERVIEWER.  ONLY PLANS THAT WERE CODED
      YES AT ASSOCIATION MATRIX ARE DISPLAYED HERE.

      SHOW INSTRUCTION:  [USE ARROW KEYS TO HIGHLIGHT ASSOCIATION PLAN AND
      CODE 1 (YES).]
```

*ALL RESPONSES GO TO BOX E4 UPON EXIT FROM ASSOCIATOPM CONTRIBUTION MATRIX.*

IF CONTRIBUTE ^= YES (1), EXCLUDE PLANS FROM SUBSAMPLING.

BOX . . . . . . . IF D8=1(FIRM IN A MET/MEWA POOL), GO TO E12,
E4 . . . . . . . . . ELSE, GO TO E14

E12 IS ASKED IF D8=1 (FIRM IN MET/MEWA POOL)

DISPLAY1:
**"Did you include this coverage in the plans you just told me about?" if more than one plan; ELSE**
**"Is {PLAN NAME} a MET/MEWA plan?"**

```
E12.   I recorded earlier that you obtained insurance through a multi-employer
       trust or MET/MEWA?

       {DISPLAY1}
```

**( 1 )**

**1.    YES**
**2.    NO**
**3.    DENIES MET/MEWA PLAN**

*IF E12 = 1, AND ONE PLAN, SET PLAN.METMEWA = 1, GO TO E14, ELSE*
*IF E12 = 1, GO TO E13A*
*IF E12 = 2, GO TO PLAN MATRIX AND SHOW EW (ASKED IN THE FIRST COLUMN):*
*IF E12 = 3, GO TO E14*
*DK/REF GO TO E14*

1 = ESTB.E12, SIZE = N2

**EW:  Can you tell me the names of the multi-employer trust, or MET/MEWA plan(s)?**

*GO TO E14 UPON EXITING THE PLAN MATRIX.*

SET PLAN.METMEWA=1 FOR EACH PLAN ADDED.

E13A IS ASKED IF E12 = 1 (ENUMERATED PLANS INCLUDE MET/MEWA PLANS) AND THERE IS MORE THAN ONE ENUMERATED PLAN.

E13A. Which of the plans you just told me about is a MET/MEWA plan?

    SHOW MET/MEWA MATRIX (SCREEN E13) AND DISPLAY
"YES" FOR 1'S ENTERED BY    INTERVIEWER.

    show instruction:
[USE ARROW KEY TO HIGHLIGHT MET/MEWA AND CODE 1    (YES).]

*GO TO E13B UPON EXITING FROM MET/MEWA MATRIX.*

SET PLAN.METMEWA=1 FOR EACH PLAN MARKED

E13B IS ASKED IF E13A WAS ASKED (WHICH ENUMERATED PLANS WERE MET/MEWA)

**E13B. Were there any**
**other multi-employer trust, or MET/MEWA plans in addition** to the one(s) you just told
**me about?**

( 1 )

1. YES
2. NO

*IF E13B = 1, GO TO PLAN MATRIX AND SHOW EW (ASKED IN THE FIRST COLUMN),*
*ELSE,*
*IF E13B = 2, GO TO E14*
*DK/REF GO TO E14*

1 = ESTB.E13B, SIZE = N2

**EW:**
**Can you tell me the names of the other multi-employer trust, or MET/MEWA plan(s)?**

*GO TO E14 UPON EXITING PLAN MATRIX.*

SET PLAN.METMEWA=1 FOR EACH PLAN ADDED

DISPLAY1, SHOW IF ANY PLANTYPE = 11,12,13,14 or 15
**"For the purpose of this study, we will only be asking you about your health insurance plans."**

DISPLAY2: "during {PLANYEAR}" IF MEFPU=2 & (EF1=1 OR (EF1=3 & AT FIRM LEVEL)) (MEF WITH ALL PLANS AT ALL ESTABS OR SOME PLANS AT ALL ESTABS AND AT FIRM LEVEL), ELSE, "{AT_LOCAT} during {PLANYEAR}".

X = SUM PLANTYPE ^ = 11,12,13,14 or 15

```
E14.  {DISPLAY1}
      Let me verify that altogether {X} health plans were offered {DISPLAY2}?
      [PROBE:  Please count each high and low option plan separately.]
      Is that correct?
```

**( 1 ) (SKIP)**

    **1.    YES**
    **2.    NO**

1 = ESTB.E14, SIZE=N2

```
EP.
[READ PLAN NAMES TO CONFIRM.  PROBE FOR ADDITIONAL PLANS OR DELETE
PLANS.]
```

*GO TO E14 UPON EXITING FROM PLAN MATRIX.*

E15N/S IS ASKED IF E14 = 1 (HEALTH PLAN COUNT VERIFIED)

DISPLAY1: "your plan" IF ONE PLAN ONLY, ELSE, "each of your plans"

DISPLAY2:
**"this plan" IF ONE PLAN ONLY, ELSE**
**"all of these plans"**

DISPLAY3: "at this location" IF MEGAMEF = N & (EF1 = 2 OR EF1 = 3 AT ESTAB LEVEL) (REGULAR MEF WHERE AT LEAST SOME PLANS DIFFER BETWEEN ESTABS AND AT ESTAB LEVEL), ELSE, " ".

DISPLAY4: "for this location" IF MEFPU = 2 & EF1 ^= 1 (MEF WITH SOME PLANS NOT OFFERED AT ALL ESTABS), ELSE, " ".

**E15N/S:**

*IF NO SUB-SAMPLING OR )MEFPU = 2 & EF1 ^= 1) (MEF WITH SOME PLANS NOT OFFERED AT ALL ESTABS):*
*We will be asking detailed questions about {DISPLAY1} {DISPLAY3}.*

*IF SUB-SAMPLING AND ^(MEFPU = 2 & EF1 ^= 1):*
*The next questions will ask detailed information about the following plans:*

*LIST SAMPLED PLANS*

*BOTH SUB-SAMPLED AND NO SUB SAMPLING:*
**The information I need for {PYEND} includes enrollment, claims expenditures, administrative costs, premiums, and plan benefits.**

**Are you the best person to give me this information for {DISPLAY2} {DISPLAY4}?**

*( 1 )*

*1.     YES,{RESPONDENT} FOR ALL (LINK R TO ALL PLANS AN GO TO R\*S NEXT SECTION)*
*2.     NO, {RESPONDENT} FOR ONLY SOME OR NONE  (E16)*

---

*DK/REF GO TO E16*

---

1 = ESTB.E15, SIZE=N2

SHOW RESPONDENT LIST WITH FULL NAME, TITLE AND PHONE NUMBER

```
E16    Who is the best person to tell me about {PLANNAME}?

                            ( 1 )

        1.   ADD RESPONDENT TO LIST
        2.   CHOOSE RESPONDENT FROM ABOVE  [ENTER RESP NUMBER (    )]
```

*IF E16 = 2, ENTER RESP NUMBER FROM RESPONDENT LIST, ELSE,*
*IF E16 = 1, SHOW RESPNAME SCREEN TO COLLECT NEW R NAME, TITLE, PHONE NUMBER*
*LINK CHOSEN RESPONDENT TO PLAN*
*AFTER ALL PLANS, GO TO NEXT SECTION FOR RESPONDENT ON PHONE, IF NONE, GO TO QMS SCREEN*
*DISALLOW DK/REF*

1 = PLAN.E16, SIZE = N2

# SECTION E APPENDIX

**PLAN.COCOBRA0**    *COBRA  enrollment was zero at the firm level (mef only)*
**Variable added.**
**Values:**
**-1   Number of former employees covered under COBRA was not zero at the firm**
**1   Number of former employees covered under COBRA was zero at the firm level**

**PLAN.COFAMLY0**    *Family enrollment was zero at the firm level (mef only)*
**Variable added.**
**Values:**
  **-1   Number of employees with family coverage was not zero at the firm level**
  **1   Number of employees with family coverage was zero at the firm level**

**PLAN.COJNOTAV**    *Plan costs at the firm level were not available (mef only)*
**Variable added.**
**Values:**
  **-1   Firm level section J has not been coded as NOT AVAILABLE**
  **1   Firm level section J has been coded as NOT AVAILABLE**

**PLAN.J13LEVEL**    *Establishment that answered the J13 series of questions (mef only)*
**Variable added.**
**Values:**
  **-1       No establishment answered the J13 series of questions**
**000 - ___    Establishment number of  the establishment that answered the J13**

**PLAN.J14LEVEL**    *Establishment that answered the J14 series of questions (mef only)*
**Variable added.**
**Values:**
  **-1       No establishment answered the J14 series of questions**
**000 - ___    Establishment number of  the establishment that answered the J14**

**PLAN.MENTAL**    *Plan is a mental health only plan*
**Variable added.**
**Values:**
  **-1   Default, plan is not a mental health only plan**
  **1   Plan is a mental health only plan**

**PLAN.MPP**    *Plan is a minimum premium plan*
**Variable added.  This flag was set on all plans when notes indicated that the plan**
**was a minimum premium plan, even if the plan was coded as fully-insured.**
**Values:**
  **-1   Default, plan is not a minimum premium plan**
  **1   Plan is a minimum premium plan**

**PLAN.PAY4ASSN**    *Does the firm contribute to this professional association plan?*
**Variable added.**
**Values:**
  **1   Yes, firm does contribute to this professional association plan**
  **2   No, firm does not contribute to this professional association plan**
*Note:  if PLAN.PAY4ASSN = 2, the plan was not considered for plan sub-sampling*

**PLAN.PAY4UNON**    *Does the firm contribute to this union plan?*
**Variable added.**
**Values:**
- **-1    Inapplicable, question was not asked**
- **1    Yes, firm does contribute to this union plan**
- **2    No, firm does not contribute to this union plan**

*Note:  if PLAN.PAY4UNON = 2, the plan was not considered for plan sub-sampling*

**PLAN.PLANTYPE**    *Type of plan that is offered*
**New values:**
- **33    Medicare supplement plan**
- **56    Dental and Vision services plan**
- **57    Dental and Prescription drugs plan**
- **67    Vision and Prescription drugs plan**
- **68    Dental, Vision, and Prescription drugs plan**
- **98    Enumerated as a health plan, but not a true health plan**

*Each of these types of plans is explained in more detail below.*

**PLAN.PLANTYPE = 33**    *Medicare supplement plans*
**Sections H (enrollments), J (plan costs), and L (premiums and contributions) were asked.**

**PLAN.PLANTYPE = 56**    *Dental and Vision services only plans*
**Sections H (enrollments), J (plan costs), and specific questions in S (S28 and S30) were asked.**

**PLAN.PLANTYPE = 57**    *Dental and Prescription drugs only plans*
**Sections H (enrollments), J (plan costs), and specific questions in S (S28 and S8 - S10) were asked.**

**PLAN.PLANTYPE = 67**    *Vision and Prescription drugs only plans*
**Sections H (enrollments), J (plan costs), and specific questions in S (S31 and S8 were asked.**

**PLAN.PLANTYPE = 68**    *Dental, Vision and Prescription drugs only plans*
**Sections H (enrollments), J (plan costs), and specific questions in S (S28, S31 and S8 - S10)  were asked.**

**PLAN.PLANTYPE = 98**    *Other non-health insurance plans*
**These plans were not truly health plans, or were not offered during the correct 1993 plan year.  There are no sections for these plans.**

**PLAN.PLANTYPS**    *Type of plan when sampling occurred*
**Variable added.**
**Values are the same as those for PLAN.PLANTYPE.**

**PLAN.SAMPLED**    *Plan sub-sampling flag*
**New values:**
- **A    Plan was added after plan sub-sampling had been done**
- **Z    Non-health insurance plan was added after plan sub-sampling had been done**

*Note: Z was added to PLAN.SAMPLED, but was not used.*

**PLAN.SECHRET**    *Section H data retrieval items needed (Sef and Govt only)*
**Variable added.**
**Values:**
- **-1    No section H data retrieval items needed**
- **1    Section H data retrieval item(s) needed**

**PLAN.SECJRET**    *Section J data retrieval items needed (Sef and Govt only)*
**Variable added.**
**Values:**
- **-1    No section J data retrieval items needed**
- **1    Section J data retrieval item(s) needed**

**PLAN.SECLRET**    *Section L data retrieval items needed (Sef and Govt only)*
**Variable added.**
**Values:**
- **-1    No section L data retrieval items needed**
- **1    Section L data retrieval item(s) needed**

**PLAN.SECHRSP**    *Section H data retrieval respondent (Sef and Govt only)*
**Variable added.**
**Values:**
- **-1    No section H data retrieval respondent**
- **1    One respondent, in CATI**
- **2    One respondent, not in CATI, in the firm**
- **3    Many respondents, all in CATI**
- **4    Many respondents, none in CATI, all in the firm**
- **5    Many respondents, some in CATI and some not in CATI, all in the firm**
- **6    One respondent, not in CATI, not in the firm**
- **7    Many respondents, none in CATI, one or more in the firm**
- **8    Many respondents, some in CATI and some not in CATI, one in the firm**

**PLAN.SECJRSP**    *Section J data retrieval respondent (Sef and Govt only)*
**Variable added.**
**Values:**
- **-1    No section J data retrieval respondent**
- **1    One respondent, in CATI**
- **2    One respondent, not in CATI, in the firm**
- **3    Many respondents, all in CATI**
- **4    Many respondents, none in CATI, all in the firm**
- **5    Many respondents, some in CATI and some not in CATI, all in the firm**
- **6    One respondent, not in CATI, not in the firm**
- **7    Many respondents, none in CATI, one or more in the firm**
- **8    Many respondents, some in CATI and some not in CATI, one in the firm**

**PLAN.SECLRSP**    *Section L data retrieval respondent (Sef and Govt only)*
**Variable added.**
**Values:**
- **-1    No section L data retrieval respondent**

| | |
|---|---|
| 1 | One respondent, in CATI |
| 2 | One respondent, not in CATI, in the firm |
| 3 | Many respondents, all in CATI |
| 4 | Many respondents, none in CATI, all in the firm |
| 5 | Many respondents, some in CATI and some not in CATI, all in the firm |
| 6 | One respondent, not in CATI, not in the firm |
| 7 | Many respondents, none in CATI, one or more in the firm |
| 8 | Many respondents, some in CATI and some not in CATI, one in the firm |

**PLAN.SELFCONF**  *Confirmation that firm is self-insured*
**Variable added.**
**Values:**
  -1  **Inapplicable, question was not asked**
  1  **Yes, firm is self-insured**
  2  **No or DK, firm is not self-insured**
*Note:  PLAN.SELF was reset to fully insured if PLAN.SELFCONF ^= 1.*

**PLAN.STOPLOSS**  *Does this self-insured plan have stop-loss coverage? (mef only)*
**Variable added.**
**Values:**
  1  **Yes, this plan has stop-loss coverage**
  2  **No, this plan does not have stop-loss coverage**

**PLAN.TYPEOS**  *Type of plan other/specify*
**This is the variable holding the description of the plan, when it was coded as**
**These responses were reviewed, and if the type of plan had not been properly code**
**PLAN.PLANTYPE was updated.  PLAN.TYPEOS was not reset to -1.**

**PLAN.WRAP**  *Plan is a wrap-around plan*
**Variable added.**
**Values:**
  -1  **Default, plan is not a wrap-around plan**
  1  **Plan is a wrap-around plan**