

SECTION A
NUMBER OF EMPLOYEES

07/28/95

Section A is administered at both the MEF and SEF level.

SEGMENT = NEMP FOR A1 TO A12, NINS FOR A13 TO A25OV

ALL WORDS THAT ARE UNDERLINED SHOULD BE MADE BOLD IN CATI - UNDERLINED IN SPECS TO MAKE STANDOUT. THIS IS TRUE FOR ALL SECTIONS.

NEED TO DISPLAY PARENS WHEN COLLECTION FIELD IS NOTED (())
EMPLOYEES, and so on)

TADDR: GLOBAL DISPLAY THAT INDICATES ESTABLISHMENT ADDRESS IF MEF/SEF,
"CURRADDR, CURRCITY, CURRST", ELSE, IF GOV. " " ..

COR_ORAG: GLOBAL DISPLAY -IF SOURCE = DMI(1), THEN "company or organization",
ELSE, "organization or agency".

AT_LOCAT: GLOBAL DISPLAY - IF SOURCE = DMI(1), THEN "at this location", ELSE, " " .

ASKED IF NO RESPNAME FROM KK. AANONAME WILL NOT BE USED FOR THE MEF INTERVIEW- WE WILL ALWAYS HAVE A CONTACT NAME FROM MEF PRESCREENING. 6/15/94.

LOCATED ON RESP SEGMENT

AANONAME:

Hello, my name is {INTERVIEWER NAME} and I am calling for the United States Department of Health and Human Services regarding a study about health benefits. May I have the name and title of the person in your company or organization who is responsible for health benefits?

[IF RESPONDENT STATES THEY DO NOT OFFER HEALTH INSURANCE, SAY: May I have the name and title of someone in the {COMP_ORG} who can tell me about the number of employees?]

FIRST NAME____(1)____ **LAST NAME**____(2)____(3) **[M=MR
F=MS
D=DR]**
TITLE_____(4)_____

IF DK TO LAST NAME OR SALUTATION FIELDS, SHOW FOLLOWING REVERSE VIDEO MESSAGE: ASK IF THERE IS SOMEONE ELSE WHO COULD PROVIDE THE INFORMATION. IF NO ONE IS AVAILABLE, ENTER CRTL/E TO MAKE AN APPOINTMENT FOR A CALLBACK.

GO TO AASPEAK

- 1 = RESP.RESPFNM, SIZE = C20
- 2 = RESP.RESPLNM, SIZE = C20
- 1 + 2 = RESP.RESPNAME, SIZE = C25
- 3 = RESP.SALUTE, SIZE= C3
- 4 = RESP.RTITLE, SIZE = C30

AASPEAK WILL NOT BE ASKED FOR MEF INTERVIEW. WE WILL ALWAYS HAVE CONTACT INFORMATION FROM MEF PRESCREENING. 6/15/94

LOCATED ON THE RESP SEGMENT

AASPEAK:

[INTERVIEWER: ARE YOU SPEAKING WITH {RESPNAME}?]

(1)

1. YES (AGATE - DISPLAY1)
2. NO (NONAMOV2)

DISALLOW DK/REF

1 = TEMPORARY VARIABLE AASPEAK, SIZE = N2

AAPHONE WILL NOT BE ASKED FOR MEF CASES. WE WILL ALWAYS HAVE CONTACT INFORMATION FROM MEF PRESCREENING. 6/15/94

LOCATED ON THE RESP SEGMENT

AAPHONE:

What is {RESPNAME'S} telephone number?

TELEPHONE: (_1_) (_2_)-(_3_) EXTENSION: (_4_)

ALL RESPONSES GO TO AACONFON

DISALLOW DK/REF

1 = RESPAREA, SIZE = C3
2 = RESPEXCH, SIZE = C3
3 = RESPLOCL, SIZE = C4
4 = RESPEXT, SIZE = C8

AACONFON WILL NOT BE USED FOR MEF CASES - WILL ALWAYS HAVE CONTACT INFORMATION FROM MEF PRESCREENING. 6/15/94

LOCATED ON THE RESP SEGMENT

AACONFON:

Is that {PHONE NUMBER}?

(1)

1. YES (AGATE)
2. NO (RE-ENTER ABOVE UNTIL IT IS RIGHT)

1 = TEMPORARY VARIABLE ACONFON, SIZE = N2

ASK AGATE IF RESPNAME FROM KK, ELSE GO TO NONAME

DISPLAY1: IF AASPEAK = 1, "As I mentioned, the questions will ask about Health Insurance and the number of employees at your company or organization.", ELSE, IF RESPNAME FROM KK OR AASPEAK = 2 OR MEF (MEFPU=2), THEN "May I speak with {RESPNAME}?" (NOTE: Only Display 2 will be used for MEF cases.)

AGATE:

{DISPLAY1}

[INTERVIEWER: STAY ON SCREEN UNTIL CONNECTED WITH R OR TOLD R IS NOT AVAILABLE.]

My name is {INTERVIEWER NAME} and I am calling for the United States Department of Health and Human Services regarding a study about health benefits. This study collects information on the cost of providing health benefits to employees. Results will be used to develop estimates of health care spending and to evaluate health care reform.

{The questions are about {CURRNAME}} (Displayed for SEF and COG only)
{located at {TADDR}} (Displayed for SEF's only)

[IF RESPONDENT STATES THEY DO NOT OFFER HEALTH INSURANCE, SAY: In that case, I just need to ask a few questions about the number of employees.]

(1)

1. CONTINUE SECTION A WITH {RESPNAME}
3. GO TO QUESTIONNAIRE MANAGEMENT SCREEN (QMS)
- GT. GO TO RESULT

1 = NINS.AGATE, SIZE = C2

DISALLOW DK/REF

DISPLAY2: "2. NO, NOT RECEIVED OR NOT READ" if SEF interview, will go to AOA OV
ELSE, "2. NO, NOT RECEIVED" for MEF interviews, will go to skip..

DISPLAY3: "3. NO, NOT READ" if MEF interview, will go to skip, ELSE, " ".

DISPLAY4: "and listed the specific locations we are interested in talking about." if MEF interview,
ELSE, " ".

A0A.(A0AM)

Recently, the National Center for Health Statistics mailed a letter to {COR_ORAG} that explained the purpose of this study {DISPLAY4}.

Did you have a chance to read this letter?

(1)

1. YES, RECEIVED AND READ (A1 FOR SEF AND AA1 FOR MEF)
{DISPLAY 2} (A0ANO) (IF SEF GO TO AOA OV, MEF TO TO SKIP)
{DISPLAY 3} (A0AOV) (GO TO SKIP)

1 = TEMPORARY VARIABLE A0A, SIZE = N2

DISALLOW DK/REF

SKIP FOR MEF: IF INITIAL CONTACT FOR CASE, GO TO NO SCREEN, ELSE, FOR SUBSEQUENT CONTACTS, GO TO AOA OV.

ASKED FOR MEF CASES ONLY. ASKED IF AOA = 2 & BASE.MEFLETR ^= 1 (LETTER HAD NOT BEEN REQUESTED BEFORE).

A0ANO: I would like to send this letter to you. Would you prefer that I mail or fax the letter to you?

[PRESS RETURN TO CONTINUE, THEN CODE 9.] [IF R REFUSES, ASK IF THERE IS SOMEONE ELSE TO WHOM THE LETTER CAN BE SENT.]

SET BASE.MEFLETR = 1

THE MAIL/FAX ROUTINE WILL BE CALLED AFTER THIS SCREEN - WILL ASK THE INTERVIEWER TO CHOOSE MAIL OR FAX, COLLECT THE APPROPRIATE INFORMATION AND SEND THEM TO A THANK YOU SCREEN - THE INTERVIEW WILL NOT BE ALLOWED TO CONTINUE UNTIL R SAYS THEY HAVE RECEIVED AND READ LETTER. THIS ONLY HAPPENS THE FIRST TIME THROUGH

A0AOV WILL BE SHOWN AS AN OVERLAY TO A1A WHEN A1A = 2 OR 3 FOR CASE CONTACTS AFTER THE INITIAL CONTACT.

DISPLAY1: " " IF BASE.GOVTPU = 2 (NON-PU STATE, FED, QUASI=FED GOVERNMENT AGENCY) ELSE, "It will take between 15 and 50 minutes depending on the answers to the questions."

A0AOV. (A0AOVG)

The letter stated that this survey information is collected under the authority of the Public Health Service Act. Information will be held in strict confidence and will be used for statistical purposes only, as required by Section 308 (d) of the Act. No individual person or organization will ever be identified in any statistical summary which is released or published. Your participation is voluntary and there is not a penalty for not participating in the survey. {DISPLAY1}

(1)

1. CONTINUE (SKIP)
- GT. GO TO RESULT

DISALLOW DK/REF

1 = TEMPORARY VARIABLE A0AOV, SIZE = C2

SKIP: IF MEF GO TO AA1, ELSE GO TO A1

ASKED FOR MEF CASES ONLY, OF EACH ELIGIBLE ESTABLISHMENT (ESTBRSLT ^= I*).

LOCATED ON ESTB SEGMENT

X = NUMBER OF ELIGIBLE ESTABLISHMENTS IN MEF (ESTBRSLT ^= I*)

DISPLAY1: IF A0A=1 (RESPONDENT RECEIVED LETTER) "that we listed on the third page of the letter", ELSE, " "

AA1. {[}As the letter mentioned, the questions are about the following {x} locations of your {COR_ORAG} {DISPLAY1}.

Let me verify that the following locations are affiliated with your {COR_ORAG}.{[}

[PROBE: Can you tell me about this locations health benefits for 1993?]

What about...

**{CURRNAME}
{SECNAME} (if available)
{TADDR}**

(1)

1. AFFILIATED WITH COMPANY/DON'T KNOW
2. NO/NEVER AFFILIATED WITH/NEVER HEARD OF COMPANY
3. CLOSED
4. SOLD/DON'T KNOW ABOUT BENEFITS FOR 1993

DISALLOW REF/DK

IF AA1=2 OR 4, SET ESTBRSLT=UD (PENDING CREATION OF NEW CASE/BREAKING INTO SEF). GO TO "NOTE" SCREEN TO COLLECT STORY ABOUT THESE ESTABS.
IF AA1=3, CODE ESTBRSLT = IB. ALL RESPONSES: IF OTHER ESTABLISHMENTS EXIST, REPEAT AA1, ELSE IF NO AFFILIATED ESTABLISHMENT FOUND, SHOW THANKYOU SCREEN AND CODE CASE A PROBLEM, ELSE GO TO A13M.

NOTE: Square brackets are to be displayed around text for all establishments except the first one to be asked about.

**See appendix at end of Section A*

A1 IS ASKED FOR SEFS IN SECTION A, ASKED FOR MEFS IN SECTION C. MEFS BEGIN AT A13M.

DISPLAY2: "as well as the owner, if he or she works at this location" IF SOURCE = DMI(1) & EMPL_OC < 50 OR MISSING, ELSE, " ".

DISPLAY3: "the {TADDR} location of" IF SOURCE = DMI(1), ELSE, " ".

A1. What was the total number of employees on December 31, 1993 at {DISPLAY3} of {CURRNAME}? Please include both full- and part-time employees {DISPLAY2}.

[PROBE: Include all employees on the payroll on December 31, 1993 {AT_LOCAT}. Do not include employees under personal service contracts or hired from a temporary agency such as Kelly services.]

[PROBE FOR AN ESTIMATE.]

(1) EMPLOYEES (BOX A1)

IF DK/REF, SHOW REVERSE VIDEO MESSAGE: ASK IF THERE IS SOMEONE ELSE WHO COULD PROVIDE THE INFORMATION. CTRL/E TO EXIT."
DK/REF WILL STOP INTERVIEW

1 = A1, SIZE = N7, ATM STYLE, HR: 0 TO 9,999,999, SR: 0 TO 100,000

BOX A1	IF A1 = 0 & SOURCE = DMI OR COG, GO TO A3OV (as an overlay) IF A1 = 1 AND SOURCE = DMI, GO TO A3OV (as an overlay) IF A1 = 25, 50, 75, 100, 250, 1000, 5000, AND SOURCE = DMI OR COG, GO TO A1A (as an overlay) IF SOURCE = COG(2) & GOVTPU = 1 (LOCAL, NON-PU) GO TO A2D, ELSE, A13
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**See appendix at end of Section A*

A1 IS ASKED FOR SEFS IN SECTION A AND FOR MEFS IN SECTION C. A1A WILL BE SHOWN AS AN OVERLAY TO QUESTION A1 WHEN A1 = 25, 50, 75, 100, 250, 1000, 5000.

NOTE: THE A1 OVERLAY WILL ONLY BE SHOWN WHEN A1 IS ASKED INITIALLY. IF RESPONDENT MAKES CORRECTION TO A1 AT LATER POINT IN THE INTERVIEW AND WE MUST REASK A1-A12, A1A WILL NOT BE REASKED.

A1A. [DID THE RESPONDENT SAY THIS WAS AN ESTIMATE?]

(1) (SKIP)

[1 = YES, 2 = NO, DK, CAN'T TELL]

DISALLOW DK/REF.

1 = A1A, SIZE = N2

*SKIP: IF A1A = 1, GO TO A9, ELSE
IF SOURCE = 2 (COG) & GOVTPU = 1 (LOCAL, NON-PU), GO TO A2D
ELSE, GO TO A13*

ASKED IF SOURCE = COG (2) & GOVTPU = 1 (LOCAL, NON-PU)

A2D. Does the answer you just gave me, {A1} employees, include employees of other districts or governments, such as school districts or special districts?

(1)

1. YES (A2E)
2. NO (A13)

DK/REF GO TO A13

1 = A2D, SIZE = N2

ASKED IF A2D = 1 (YES) (A1 INCLUDES OTHER DISTRICTS/GOVERNMENTS)

A2E. Could you please tell me the names of the other districts or governments that were included in the {A1} employees you just told me?

**[ENTER THE NAMES OF THE OTHER GOVERNMENTS. ONE PER LINE.]
[PRESS RETURN ON REMAINING LINES TO GO TO NEXT QUESTION.]**

SHOW 6 NUMBERED UNDERLINED ENTRY FIELDS

ALL RESPONSES INCLUDING DK/REF GO TO A13

COLLECT UP TO 6 NAMES. FOR EACH NAME CREATE A GOVN SEGMENT ASSIGNING THE NAME TO GOVNNAME, SETTING GOVNTYPE=1 (OTHER GOVERNMENT INCLUDED IN A1) AND SETTING GOVNDATE = TODAY(YMMDD)

GOVNNAME, SIZE = C60
GOVNTYPE, SIZE = N2
GOVNDATE, SIZE = C6

A3OV WILL BE ASKED FOR SEFS IN SECTION A AND FOR MEFS IN SECTION C. IT WILL BE SHOWN AS AN OVERLAY TO A1 WHEN A1=0 FOR DMI OR COG (SOURCE = 1, 2) OR A1 = 1 FOR DMI (SOURCE = 1).

DISPLAY1: "were no employees" IF A1=0, ELSE, "was just on employee" IF A1=1.

DISPLAY2: "including the owner" IF SOURCE = DMI(1), ELSE, " ".

A3OV. Let me verify that {DISPLAY2}, there {DISPLAY1} at this location on December 31, 1993.

Is that correct?

(1)

1. CORRECT, THERE {DISPLAY1} (SKIP1)
2. WRONG, CHANGE ANSWER ABOVE (SKIP2)

DK/REF GO TO SKIP2

1 = A3OV, SIZE = N2

SECOND TIME THROUGH SHOW REVERSE VIDEO MESSAGE UNTIL A3OV = 1.
**"ASK IF THERE IS SOMEONE ELSE WHO COULD PROVIDE THE INFORMATION.
CTRL/E TO EXIT."**

SKIP1: IF SOURCE = DMI(1):

IF A1=0, GO TO A4

IF A1=1, GO TO A7

IF SOURCE = COG(2):

IF A1=0, GO TO ACLOSE1. SET ESTBRSLT = IZ (NO EMPLOYEES)

IF A1=1, GO TO A13

SKIP2: FIRST TIME THROUGH, CREATE NEW NEMP. REASK A1 - A9.

A4 WILL BE ASKED IN SECTION A FOR SEFS AND SECTION C FOR MEFS. ASKED WHEN A1=0 AND A3OV = 1 AND SOURCE = DMI(1)

A4. Is this...

(1)

1. a seasonal business.
2. a holding company,
3. an all volunteer organization, or
4. independent contractor/consultant. (Not displayed. For DP use only.)
91. something else? (SPECIFY) (2)

ALL RESPONSES, INCLUDING DK/REF GO TO ACLOSE1

1 = A4, SIZE = N2
2 = A4OTH, SIZE = C40

SET ESTB.ESTBRSLT = IZ (NO EMPLOYEES) FOR ALL RESPONSES

**See appendix at end of Section A*

A7 IS ASKED FOR SEFS IN SECTION A AND MEFS IN SECTION C. ASKED IF A1=1 AND A3OV = 1 (A1 = 1 VERIFIED BY RESPONDENT) AND SOURCE = DMI(1)

A7. Did this {COR_ORAG} have employees at any other location on December 31, 1993?

(1)

1. YES (A13)
2. NO (ACLOSE1)

DK/REF GO TO ACLOSE1

1 = A7. SIZE = N2

SET ESTB.ESTBRSLT = IS (SENE'S) IF A7 ^= 1 (NO EMPLOYEES AT OTHER LOCATIONS).

**See appendix at end of Section A*

SHOW ACLOSE1 WHEN IT IS A SELF-EMPLOYED BUSINESS WITH NO OTHER LOCATIONS, OR THERE ARE 0 EMPLOYEES ((SOURCE = DMI (1) & A7 ^= 1 & A3OV = 1) OR (A1 = 0 & A3OV = 1)).

ACLOSE1. Thank you. That's all the questions I have at this time.

A9 IS ASKED FOR SEF'S IN SECTION A AND FOR MEFS IN SECTION C.
ASKED WHEN A1A = 1 (A1 WAS AN ESTIMATE).

A9. Would you say that the actual number was...

(1) (SKIP)

1. a little more than {A1},
2. a little less than {A1}, or
3. exactly {A1}?

DK/REF GO TO SKIP

1 = A9, SIZE = N2

SKIP IF SOURCE = COG(2) & GOVTPU = 1 (LOCAL, NON-PU), GO TO A2D, ELSE, GO TO A13.

ASKED FOR SEFS AND GOVERNMENT CASES

NEW SEGMENT = NINS (A13 TO A25OV)

A13. Did your {COR_ORAG} offer a group health insurance plan(s) for employees {AT_LOCAT} as of December 31, 1993?

[PROBE: Include plans where the employee must pay the entire premium.]

[PROBE: Do not include vouchers for employees to purchase their own plans or if the employer contributes to an employee's own plan.]

(1) (SKIP)

1. YES (ALL OR SOME EMPLOYEES)
2. NO

**IF DK/REF, SHOW REVERSE VIDEO MESSAGE: "ASK IF THERE IS SOMEONE ELSE WHO COULD PROVIDE THE INFORMATION. CTRL/E TO EXIT."
DK/REF WILL STOP INTERVIEW**

1 = A13, SIZE=N2

ESTB.INSURE = A13 IF A13 = 1 OR 2. INSURE MAY BE RECODED TO 1 AT EITHER A15 OR A17 AND IS USED THROUGHOUT THE QUEX FOR SKIPS.

SKIP: IF SOURCE = COG(2), GO TO A13B, ELSE A14

CRITICAL DATA ITEM

**See appendix at the end of Section A*

A13M IS THE MEF VERSION OF SEF/GOV A13 AND IS ASKED AT THE COMPANY LEVEL.

NEW SEGMENT = NINS

DISPLAY1: **"both"** IF ONLY TWO AFFILIATED LOCATIONS FROM AA1, ELSE, **"all"**

DISPLAY2: **" "**, IF ONLY TWO AFFILIATED LOCATIONS FROM AA1, ELSE, **"or more"**.

DISPLAY3: **"neither"** IF ONLY TWO AFFILIATED LOCATIONS FROM AA1, ELSE **"none"**.

A13M. Did your {COR_ORAG} offer a group health insurance plan(s) for employees at {DISPLAY1} of these locations, only at one {DISPLAY2} of them, or at {DISPLAY3} of these selected locations as of December 31, 1993?

[PROBE: Include plans where the employee must pay the entire premium.]

[PROBE: Do not include vouchers for employees to purchase their own plans or if the employer contributes to an employee's own plan.]

(1)

1. ALL OF THE SELECTED LOCATIONS (ALL OR SOME EMPLOYEES) (A14)
2. ONLY SOME SELECTED LOCATIONS (ALL OR SOME EMPLOYEES) (A13M1)
3. NO SELECTED LOCATIONS (A14)

**IF DK/REF, SHOW REVERSE VIDEO MESSAGE: "ASK IF THERE IS SOMEONE ELSE WHO COULD PROVIDE THE INFORMATION. CTRL/E TO EXIT."
DK/REF WILL STOP INTERVIEW**

1 = A13M, SIZE=N2

ESTB.INSURE = 1 FOR ALL LOCATIONS IF A13M=1, INSURE = 2 FOR ALL LOCATIONS IF A13M=3. WE ARE NOT RECODING INSURE AT A15 OR A17 FOR MEFS AS WE ARE FOR SEFS.

CRITICAL DATA ITEM

FORMEF'S - A13M IS CASE SPECIFIC - INFORMATION WILL BE COLLECTED ON THE ESTB SEGMENT. IF R ANSWERS A13M=1, THEN INSURE IS SET TO 1 FOR ALL ESTABLISHMENTS, IF R ANSWERS A13M=3, THEN INSURE IS SET TO 2 FOR ALL ESTABLISHMENTS.

**See Appendix at the end of Section A*

**A13M1 IS ASKED IF A13M = 2 (INSURANCE OFFERED ONLY AT SOME LOCATIONS)
AND IS ASKED FOR MEFS ONLY**

**A13M1. Did your {COR_ORAG} offer a group health insurance plan(s) for employees of
{CURRNAME} at {TADDR} as of December 31, 1993?**

[PROBE: Include plans where the employee must pay the entire premium.]

**[PROBE: Do not include vouchers for employees to purchase their own plans if
the employer contributes to an employee's own plan.]**

(1)

**ALL RESPONSES INCLUDING DK/REF REPEAT A13M1 FOR NEXT AFFILIATED
ESTABLISHMENT IF EXISTS, ELSE GO TO A14**

IF A13M1 = 1 (YES), DK/REF SET INSURE = 1

IF A13M1 = 2 (NO), SET INSURE = 2

1 = ESTB.A13M1, SIZE = N2

**See Appendix at end of Section A*

ASKED OF GOVERNMENTS ONLY - SOURCE = COG (2).

A13B. Does {CURRNAME} pool or join with any other government(s) or district(s) to purchase health insurance?

(1)

1. YES (A13C)
2. NO (A14)

DK/REF GO TO A14

1 = A13B, SIZE = N2

SET INSURE = 1 IF A13B = 1

ASKED OF GOVERNMENTS ONLY. ASKED IF A13B = 1 (POOLS WITH OTHER GOVERNMENTS TO PURCHASE INSURANCE).

A13C. What is(are) the name(s) of the other government(s) that you pool with to purchase health insurance for your employees?

[ENTER THE NAMES OF THE OTHER GOVERNMENTS AND ANY OTHER INFORMATION ABOUT THE POOL.]

[PRESS RETURN ON REMAINING LINES TO GO TO NEXT QUESTION.]

ALL RESPONSES INCLUDING DK/REF GO TO A14

SHOW 6 NUMBERED UNDERLINED ENTRY FIELDS. COLLECT UP TO 6 NAMES. FOR EACH NAME CREATE A GOVN SEGMENT ASSIGNING THE NAME TO GOVNNAME, SETTING GOVNTYPE = 2 (OTHER GOVERNMENT IN INSURANCE PURCHASE POOL) AND SETTING GOVNDATE = TODAY(YMMMDD)

**GOVNNAME, SIZE = C60
GOVNTYPE, SIZE = N2
GOVNDATE, SIZE = C6**

ASKED FOR BOTH SEF AND MEF - FOR MEFS - THIS QUESTION IS ASKED ONCE AT THE COMPANY LEVEL.

DISPLAY1: "at any of these locations" AND CASE IS MEF WITH MORE THAN TWO AFFILIATED LOCATIONS FROM AA1, ELSE, "at either of these locations" IF CASE IS MEF WITH ONLY TWO AFFILIATED LOCATIONS FROM AA1, ELSE, IF SEF CASE, " ".

A14. Did any of your employees {DISPLAY1} receive health insurance coverage through a union as of December 31, 1993?

[DO NOT INCLUDE UNION COVERAGE OBTAINED THROUGH A SPOUSE.]

(1)

1. YES (A15)
2. NO (A16)
3. NO UNION MEMBERS (A16)

DK/REF GO TO A16

1 = A14, SIZE=N2

A15 IS ASKED FOR BOTH MEFS AND SEFS. FOR MEFS, IT IS ASKED ONE TIME ONLY AT THE COMPANY LEVEL. ASKED IF A14=1 (EMPLOYEES RECEIVED HEALTH INSURANCE THROUGH UNION)

A15. Did your {COR_ORAG} contribute to this union coverage?

(1)

1. YES (A16)
2. NO (A15A)

DK/REF GO TO A16

1 = A15, SIZE=N2

IF A15 = 1, SET ESTB.INSURE=1 FOR SEFS ONLY. INSURE DOES NOT GET RECODED FOR MEFS.

See Appendix at end of Section A

A15A IS ASKED FOR BOTH MEFS AND SEFS. FOR MEFS, IT IS ASKED ONCE AT THE COMPANY LEVEL ONLY. ASKED IF A15=2 (COMPANY DID NOT CONTRIBUTE TO UNION COVERAGE). SCREENS A15A - A15AA WILL BE REPEATED AS MANY TIMES AS NECESSARY TO COLLECT INFORMATION FOR MULTIPLE UNION PLANS.

A15A. What is the name of the union through which your employees obtain health insurance?

NAME OF UNION_____ (1)_____

ADDRESS:_____ (2)_____

CITY:_____ (3)_____ **STATE:**_____ (4)_____ **ZIP:**____ (5)____

PHONE NUMBER: (6)-(7)-(8)

NAME OF PERSON TO SPEAK TO:_____ (9)_____

1 = UNAS.UNASNAME, SIZE = C50
2 = UNAS.UNASADDR, SIZE = C32
3 = UNAS.UNASCITY, SIZE = C20
4 = UNAS.UNASST, SIZE = C2
5 = UNAS.UNASZIP, SIZE = C5
6 = UNAS.UNASAREA, SIZE = C3
7 = UNAS.UNASEXCH, SIZE = C3
8 = UNAS.UNASLOCL, SIZE = C4
9 = UNAS.UACONAME, SIZE = C30
10 = UNAS.UNASTYPE, SIZE = N2

DK/REF AT FIRST FIELD GO TO A16. ALL RESPONSES (OTHER THAN DK/REF AT FIELD 1) GO TO A15AOV.

SET UNASTYPE = 1 (UNION)

INCREMENT NINS.UNASCNT BY 1 (NUMBER OF UNAS SEGMENTS)

EACH UNION GIVEN WILL BE STORED ON ITS OWN UNAS SEGMENT.

A15AOV IS ASKED FOR BOTH MEF AND SEF. FOR MEF, IT IS ASKED ONCE AT THE COMPANY LEVEL ONLY. A15AOV WILL APPEAR AS AN OVERLAY TO A15A IF UNASNAME ^= DK/REF.

DISPLAY1:" "{AT_LOCAT}", IF SEF, ELSE, IF MEF, "in your {COR_ORAG}"

A15AOV. Approximately how many employees {DISPLAY1} obtained health insurance through {UNASNAME}?

(...1...) EMPLOYEES

IF RESPONSE IS EQUAL TO OR INCLUDING 1-100, SHOW OVERLAY, OTHERWISE ALL RESPONSES INCLUDING DK/REF GO TO A15AA.

OVERLAY:

A15OV1. [IS {A15AOV} A NUMBER OR PERCENTAGE?]

(2)

1. NUMBER
2. PERCENTAGE

1 = UNAS.UNASEES, SIZE = N7, HR: 0 TO 9,999,999, SR: 1 TO 2,000.
2 = UNAS.UNASUNT, SIZE=N2.

DISALLOW DK/REF

SOFTEDITFORSEF ONLY: IF UNASUNT ^= 2 AND UNASEES > NEMP.A1, SET CCFLAGA3= 1. SHOW REVERSE VIDEO MESSAGE: IF UNRESOLVED SECOND TIME THROUGH, SET CCFLAGA3=2.
THE NUMBER OF EMPLOYEES RECEIVING HEALTH COVERAGE THROUGH A UNION MUST BE LESS THAN OR EQUAL TO {A1}.

A15AA IS ASKED FOR BOTH SEF AND MEF. FOR MEF, IT IS ASKED ONCE AT THE COMPANY LEVEL ONLY. ASKED IF A15 = 2 AND/OR UNASNAME^=DK/REF (COMPANY DID NOT CONTRIBUTE TO UNION COVERAGE AND UNION NAME NOT MISSING).

A15AA. Through what other unions did your employees obtain health insurance as of December 31, 1993?

(1)

1. OTHER UNIONS NAMED (RETURN TO A15A)
2. NO OTHER UNION (A16)

REPEAT A15A THROUGH A15AA UNTIL A15AA = 2, DK/REF, THEN GO TO A16

1 = A15AA, SIZE=N2

A16 IS ASKED FOR BOTH SEFS AND MEFS. FOR MEFS IT IS ASKED ONE TIME ONLY - AT THE COMPANY LEVEL.

DISPLAY1: "at any of these locations" IF MEF AND MORE THAN TWO AFFILIATED LOCATIONS FROM AA1, ELSE, "at either of these locations" IF MEF AND ONLY TWO AFFILIATED LOCATIONS FROM AA1, ELSE, IF SEF, " ".

A16. As of December 31, 1993, did any of your employees {DISPLAY1} receive health insurance coverage through a professional or trade association such as a board of realtors, a trade group, or a bar association?

[DO NOT INCLUDE ASSOCIATION COVERAGE OBTAINED THROUGH A SPOUSE.]

(1)

1. YES (A17)
2. NO (BOX A2)

DK/REF GO TO BOX A2

1 = A16, SIZE=N2

A17 IS ASKED OF BOTH MEF AND SEF. FOR MEF, IT IS ASKED ONCE ONLY - AT THE COMPANY LEVEL. ASKED IF A16=1 (EMPLOYEES RECEIVED HEALTH INSURANCE THROUGH AN ASSOCIATION).

A17. Did your {COR_ORAG} contribute to this professional or trade association coverage?

(1)

1. YES (BOX A2)
2. NO (A17A)

DK/REF GO TO BOX A2

1 = A17, SIZE=N2

IF A17 = 1, SET INSURE=1 FOR SEFS ONLY. INSURE IS NOT RECODED FOR MEFS.

**See Appendix at the end of Section A*

A17A IS ASKED FOR BOTH SEF AND MEF. FOR MEF, IS ASKED ONCE ONLY - AT THE COMPANY LEVEL. SCREENS A17A- ASKED IF A17=2 (COMPANY DID NOT CONTRIBUTE TO ASSOCIATION COVERAGE)A17AA WILL BE REPEATED AS MANY TIMES AS NECESSARY TO COLLECT INFORMATION FOR MULTIPLE ASSOCIATION PLANS.

LOCATED ON THE UNAS SEGMENT

SCREENS A17A - A17AA WILL BE REPEATED AS MANY TIMES AS NECESSARY TO COLLECT INFORMATION FOR MULTIPLE ASSOCIATION PLANS.

A17A. What is the name of the association through which your employees obtain health insurance?

ASSOCIATION NAME: _____(1)_____

ADDRESS: _____(2)_____

CITY: _____(3)_____ STATE: _____(4)_____ ZIP: _____(5)_____

PHONE NUMBER: (6)-(7)-(8)

NAME OF PERSON TO SPEAK TO: _____(9)_____

1 = UNAS.UNASNAME, SIZE = C50

2 = UNAS.UNASADDR, SIZE = C32

3 = UNAS.UNASCITY, SIZE = C20

4 = UNAS.UNASST, SIZE = C2

5 = UNAS.UNASZIP, SIZE = C5

6 = UNAS.UNASAREA, SIZE = C3

7 = UNAS.UNASEXCH, SIZE = C3

8 = UNAS.UNASLOCL, SIZE = C4

9 = UNAS.UACONAME, SIZE = C30

10 = UNAS.UNASTYPE, SIZE = N2

DK/REF AT UNASNAME GO TO BOX A2. ALL RESPONSES (OTHER THAN DK/REF AT UNASNAME) GO TO A17AOV.

SET UNATYPE = 2 (ASSOCIATION)

INCREMENT NINS.UNASCNT BY 1 (NUMBER OF UNAS SEGMENTS)

EACH ASSOCIATION GIVEN WILL BE STORED ON ITS OWN UNAS SEGMENT.

A17AOV IS ASKED FOR BOTH SEF AND MEF. FOR MEF IT IS ASKED ONE TIME ONLY - AT THE COMPANY LEVEL. THIS QUESTION WOULD APPEAR AS AN OVERLAY TO A17A IF A17A^=DK/REF FROM THE FIRST FIELD.

DISPLAY1: "{AT_LOCAT}" IF ^= MEF, ELSE, "in your {COR_ORAG}" IF MEF,

A17AOV. Approximately how many employees {DISPLAY1} obtained health insurance through {UNASNAME}?

(...1...) EMPLOYEES

IF RESPONSE IS EQUAL TO OR INCLUDING 1-100, SHOW OVERLAY, ELSE ALLRESPONSES INCLUDING DK/REF GP TP A17AA.

OVERLAY:

A17OV1. [IS {UNASEES} A NUMBER OR PERCENTAGE?]

(2)

1. NUMBER
2. PERCENTAGE

**1 = UNAS.UNASEES,SIZE = N7, ATM STYLE,HR: 1 TO 9,999,999, SR: 1 TO 2,000.
2=UNAS.UNASUNT, SIZE=N2**

SOFT EDIT FOR SEF ONLY:

IF UNASEES > A1 & UNASUNT^=2, SHOW REVERSE VIDEO MESSAGE BELOW. REASK A17AOV. IF DISCREPANCY RESOLVED SET CCFLAGA3 = 1, ELSE SET CCFLAGA3 = 2. THE NUMBER OF EMPLOYEES OBTAINING HEALTH INSURANCE THROUGH AN ASSOCIATION MUST BE EQUAL TO OR LESS THAN {A1}.

A17AA IS ASKED FOR BOTH SEF AND MEF. FOR MEF, ASKED ONLY ONCE AT THE COMPANY LEVEL. ASKED IF A17 = 2 AND UNASNAME ^= DK/REF (COMPANY DID NOT CONTRIBUTE TO ASSOCIATION COVERAGE AND ASSOCIATION NAME NOT MISSING).

A17AA. Through what other associations did your employees obtain health insurance as of December 31, 1993?

(1)

1. OTHER ASSOCIATIONS NAMED (RETURN TO A17A)
2. NO OTHER ASSOCIATIONS (BOX A2)

REPEAT A17A THROUGH A17AA UNTIL = 2, DK/REF, THEN GO TO BOX A2

1 = A17AA, SIZE=N2

BOX A2

IF ANY ESTB.INSURE = 2 ((HEALTH INSURANCE NOT OFFERED AT A1 OR MORE ESTABLISHMENTS IN CASE (FOR MEF: A13M ^= 1)), GO TO A24, ELSE, GO TO SECTION B IF SOURCE = DMI (1), SECTION C IF COG (2).

A24 WILL BE LOCATED ON THE ESTB SEGMENT. A24 IS ASKED FOR BOTH SEF AND MEF. FOR MEF, ASK FOR EACH ESTABLISHMENT - DO NOT ASK AT COMPANY LEVEL. A24 IS ASKED IF INSURE = 2 (HEALTH INSURANCE NOT OFFERED)

DISPLAY1: "{COR_ORAG}" IF SEF, ELSE, IF MEF, "CURRNAME at {TADDR} location".

A24 Has your {DISPLAY1} offered health insurance as a benefit to employees in the past 5 years?

(1)

1. YES
2. NO

1=ESTB.A24, SIZE=N2

IF A24 = 1, GO TO A25

IF A24 = 2, GO TO SECTION B IF SOURCE = DMI(1), ELSE SECTION C

DK/REF GO TO SECTION B IF SOURCE = DMI(1), ELSE GOTO SECTION C.

A25 IS LOCATED ON THE ESTB SEGMENT A.SK OF BOTH SEF AND MEF. FOR MEF, ASK FOR EVERY ESTABLISHMENT - DO NOT ASK AT THE COMPANY LEVEL. ASKED IF A24=1 (COMPANY OFFERED HEALTH INSURANCE WITHIN PAST 5 YEARS).

DISPLAY1: "{COR_ORAG}" IF SEF, ELSE, "CURRNAME at {TADDR} location" FOR MEF.

A25. In what year did your {DISPLAY1} last provide health insurance as a benefit to employees?

19(1)(SKIP)

SKIP: IF 1993 or 1994, GO TO A25OV, ELSE GO TO SECTION B IF SOURCE = DMI(1), ELSE GO TO SECTION C..

1=ESTB.A25, SIZE=N2, HR: 89 TO 94

A25OV IS LOCATED ON THE ESTB SEGMENT. A25OV IS ASKED FOR BOTH MEF AND SEF. FOR MEF, AS FOR EACH ESTABLISHMENT - DO NOT ASK AT THE COMPANY LEVEL. A25OV WILL BE SHOWN AS AN OVERLAY TO A25 WHEN A25 = 93 OR 94 (LAST PROVIDED HEALTH INSURANCE IN 1993 OR 1994).

DISPLAY1: "at CURRNAME at the {TADDR} location", IF MEF, ELSE, " "

A25OV. What was the last month in 19{A25} when your health insurance was offered {DISPLAY1}?

(1)

- | | |
|-------------|--------------|
| 1. JANUARY | 7. JULY |
| 2. FEBRUARY | 8. AUGUST |
| 3. MARCH | 9. SEPTEMBER |
| 4. APRIL | 10. OCTOBER |
| 5. MAY | 11. NOVEMBER |
| 6. JUNE | 12. DECEMBER |

ALL RESPONSES INCLUDING DK/REF GO TO SECTION B IF SOURCE = DMI (1), ELSE GO TO SECTION C.

1 = ESTB.A25OV, SIZE=N2

IF A25 = 93 & A25OV > 3, SET INSURE = 1

SECTION A APPENDIX

ESTB.AA1 *Establishment question (Mefs only)*

Prep did not update AA1 when coding out establishments as ineligible or as not affiliated with the Mef (ESTBRSLT = UD). The ESTBRSLT should reflect these changes.

NEMP.A1 *Total number of employees*

In general, prep did not make any changes to the A1 value. Exceptions were made when a new A1 value was given along with enough information to complete section C. The Update File contains the new A1 value for those cases that did not provide the necessary section C information.

NEMP.A1ENROLL *A1 is total enrollment*

This flag was added to indicate that the A1 value reflects the total number of enrollees, not the total number of employees. This flag was used on government cases only.

Values:

- 1 Default, not applicable
- 1 A1 is the total number of enrollees, not the total number of employees

ESEE.CLEVEL *A1/section C were not answered for the*

The flags CLEVEL and CLOTHER were added to indicate that the A1 value and subsequent section C values were answered for some level other than the establishment level. These flags were used primarily, but not exclusively, on Mef establishments.

Values:

- 1 Default, answered at establishment level
- 1 Answered for the firm as a whole
- 2 Answered for the entire state
- 3 Answered for some other level/specify

ESEE.CLOTHER *A1/section C were answered for some other*

This is the variable holding the description of the reporting unit.

Question A2E see GOVN SEG

NEMP.A4 *Why are there zero employees at this establishment?*

New value:

- 4 Independent contractors or consultants

NEMP.A7 *Are there other branches or locations*

Prep did not recode A7 if prep coded the case out as ineligible. ESTBRSLT would be IB, ID, IG, IS, IO, IZ, etc.

NINS.A13 *Does establishment offer group health insurance?*

If the insurance status of the case was changed (ESTB.INSURE) then A13 and **Mef only below:**

NINS.A13M subsequent questions (A15 - A17, and A24 - A25) were changed to reflect the new status.

ESTB.A13M1

GOVN SEG *Other governments or districts pooled with or included in A1 (govt. only)*

Prep added a GOVN segment to those cases that did not collect the names of the **Questions A2E & A13C** other governments or districts that were included in the establishment employee counts, or that pooled with the establishment to purchase health insurance. These GOVN segments

state that the information was not collected.

NINS.A15 *Did you offer any plans through a union?*

If comments indicated that the plan was a union plan, then the PLAN.UNION flag was set to 1 (plan is a union plan), but A15 was not updated.

NINS.A17 *Did you offer any plans through a professional association?*

If comments indicated that the plan was a professional association plan, then the PLAN.ASSN flag was set to 1 (plan is a professional association plan), but A17 was not updated.